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5123:2-9-18 **Home and community-based services waivers - non-medical transportation.** [\[This rule will replace existing rule 5123:2-9-18.\]](#)

(A) Purpose

The purpose of this rule is to define non-medical transportation and set forth provider qualifications, requirements for service delivery and documentation of services, and payment standards for the service.

(B) Definitions

- (1) "Adult day support" has the same meaning as in rule 5123:2-9-17 of the Administrative Code.
- (2) "Agency provider" means an entity that employs persons for the purpose of providing services for which the entity must be certified under rules adopted by the department. [\[Aligns with definition used in newer rules.\]](#)
- (3) "Attendant" means a person employed by a provider of non-medical transportation separate from the driver of the vehicle. Attendants are not required to be present during the provision of non-medical transportation but when present, are required to comply with this rule. [\[Based on paragraph \(B\)\(3\) of existing rule 5123:2-9-18.\]](#)
- (4) "Budget limitation" has the same meaning as in rule 5123:2-9-19 of the Administrative Code.
- (5) "Commercial vehicles" means buses, livery vehicles, and taxicabs that are available for public use. [\[Aligns with definition used in new Transportation service rule \(5123:2-9-24\).\]](#)
- (6) "Commute" means the number of miles driven when one or more individual is riding in a vehicle while non-medical transportation at the per-mile rate is being provided. [\[This is paragraph \(B\)\(4\) of existing rule 5123:2-9-18.\]](#)
- (7) "County board" means a county board of developmental disabilities. [\[Aligns with definition used in newer rules.\]](#)
- (8) "Department" means the Ohio department of developmental disabilities. [\[Aligns with definition used in newer rules.\]](#)
- (9) "Homemaker/personal care" has the same meaning as in rule 5123:2-9-30 of the Administrative Code.

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- (10) "Independent provider" means a self-employed person who provides services for which he or she must be certified under rule 5123:2-2-01 of the Administrative Code and does not employ, either directly or through contract, anyone else to provide the services. [\[Aligns with definition used in newer rules.\]](#)
- (11) "Modified vehicle" means a motor vehicle that has been designed, constructed, or fabricated and equipped to be used upon public streets and/or highways for transportation of persons who require use of a wheelchair. [\[This is paragraph \(B\)\(8\) of existing rule 5123:2-9-18.\]](#)
- (12) "Non-medical transportation" means transportation that is used by individuals enrolled in individual options or level one waivers solely to access adult day support, supported employment-community, supported employment-enclave, and/or vocational habilitation. Whenever possible, family, neighbors, friends, or community agencies that provide transportation without charge shall be utilized. [\[From paragraph \(B\)\(9\) of existing rule 5123:2-9-18.\]](#)
- (a) Billing for the provision of non-medical transportation is limited to those times when an individual is transported to, from, and/or between sites where adult day support, supported employment-community, supported employment-enclave, and/or vocational habilitation are provided to the individual. [\[This is paragraph \(B\)\(9\)\(a\) of existing rule 5123:2-9-18.\]](#)
- (b) Billing for the provision of non-medical transportation may occur when an individual is transported to a drop-off or transfer location from which the individual is then transported to and/or from the site where adult day support, supported employment-community, supported employment-enclave, and/or vocational habilitation are provided to the individual. [\[This is paragraph \(B\)\(9\)\(b\) of existing rule 5123:2-9-18.\]](#)
- (c) There are three modes of non-medical transportation: [\[This was added for clarification and to set stage for structure of rule.\]](#)
- (i) Non-medical transportation at the per-trip rate;
- (ii) Non-medical transportation at the per-mile rate; and
- (iii) Non-medical transportation provided by operators of commercial vehicles at the usual and customary fare.
- (d) Nothing in this rule shall be interpreted to prohibit a provider of homemaker/personal care from transporting an individual to access adult day support, supported employment-community, supported employment-enclave, and/or vocational habilitation and billing for homemaker/personal care and transportation in accordance with rules 5123:2-9-30 and 5123:2-9-

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24 of the Administrative Code. [\[This is based on paragraph \(B\)\(9\)\(c\) of existing rule 5123:2-9-18.\]](#)

- (13)"Service and support administrator" means a person, regardless of title, employed by or under contract with a county board to perform the functions of service and support administration and who holds the appropriate certification in accordance with rule 5123:2-5-02 of the Administrative Code.
- (14)"Service documentation" means all records and information on one or more documents, including documents that may be created or maintained in electronic software programs, created and maintained contemporaneously with the delivery of services, and kept in a manner as to fully disclose the nature and extent of services delivered that shall include the items delineated in paragraph (H) of this rule to validate payment for medicaid services.
- (15)"Supported employment-community" has the same meaning as in rule 5123:2-9-15 of the Administrative Code.
- (16)"Supported employment-enclave" has the same meaning as in rule 5123:2-9-16 of the Administrative Code.
- (17)"Transportation" has the same meaning as in rule 5123:2-9-24 of the Administrative Code.
- (18)"Vocational habilitation" has the same meaning as in rule 5123:2-9-14 of the Administrative Code.

(C) Provider qualifications [\[Aligns with structure of newer rules.\]](#)

- (1) Non-medical transportation shall be provided by an independent provider, an agency provider, or an operator of commercial vehicles that meets the requirements of this rule and that has a medicaid provider agreement with the Ohio department of job and family services. [\[Aligns with wording in new rule for Transportation under Individual Options and Level One Waiver \(5123:2-9-24\).\]](#)
- (2) An applicant seeking approval to provide non-medical transportation shall meet the requirements of this rule and complete and submit an application and adhere to the requirements of rule 5123:2-2-01 of the Administrative Code, except that paragraphs (C)(3)(a), (C)(3)(b), (C)(3)(c), (D)(1), (D)(3), and (K) of that rule do not apply to operators of commercial vehicles. [\[Aligns with wording in new rule for Transportation under Individual Options and Level One Waiver \(5123:2-9-24\).\]](#)

[\[Paragraphs \(C\)\(3\), \(C\)\(4\), and \(C\)\(5\) below replicate the wording from the new Transportation rule \(5123:2-9-24\) with additional language about testing for](#)

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controlled substances and blood alcohol level as required for providers of Non-Medical Transportation.]

- (3) An applicant seeking approval to provide non-medical transportation as an independent provider shall present his or her driving record prepared by the bureau of motor vehicles no earlier than fourteen days prior to the date of his or her application for initial or renewal provider certification. A person having six or more points on his or her driving record is prohibited from providing non-medical transportation. [This is based on paragraphs (C)(7)(d) and (D)(6)(d) of existing rule 5123:2-9-18 with modification to align with the new rule for Transportation (5123:2-9-24).]
- (4) An independent provider of non-medical transportation shall:
 - (a) Hold a valid driver's license as specified by Ohio law. [This is based on paragraphs (C)(7)(a) and (D)(6)(a) of existing rule 5123:2-9-18.]
 - (b) Have valid liability insurance as specified by Ohio law.
 - (c) Immediately notify the department, in writing, if he or she accumulates six or more points on his or her driving record or if his or her driver's license is suspended or revoked.
 - (d) Complete testing for controlled substances by a laboratory certified for such testing and be determined to be drug free prior to initially providing non-medical transportation. [This is paragraphs (C)(7)(c) and (D)(6)(b) of existing rule 5123:2-9-18.]
 - (e) Complete testing for controlled substances by a laboratory certified for such testing within thirty-two hours and complete testing for blood alcohol level by an entity certified for such testing within ten eight hours of a motor vehicle accident involving the driver while he or she was providing non-medical transportation when: [This is paragraphs (C)(7)(c) and (D)(6)(b) of existing rule 5123:2-9-18.]
 - (i) The accident involves the loss of human life; or
 - (ii) The driver receives a citation ~~that was written within eight hours of the accident~~ under state or local law for a moving traffic violation arising from the accident, if the accident involved:
 - (a) Bodily injury to any person who, as a result of the injury, immediately receives medical treatment away from the scene of the accident; or

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- (b) One or more motor vehicles incurred disabling damage as a result of the accident, requiring the motor vehicle to be transported away from the scene by a tow truck or other motor vehicle.

(5) An agency provider of non-medical transportation shall:

- (a) Ensure that each driver holds a valid driver's license as specified by Ohio law. [This is based on paragraphs (C)(7)(a) and (D)(6)(a) of existing rule 5123:2-9-18.]
- (b) Have or ensure that each driver has valid liability insurance as specified by Ohio law.
- (c) Obtain, for each driver, a driving record prepared by the bureau of motor vehicles no earlier than fourteen days prior to the date of initial employment as a driver and at least once every three years thereafter. A person having six or more points on his or her driving record is prohibited from providing non-medical transportation. [This is based on paragraphs (C)(7)(d) and (D)(6)(d) of existing rule 5123:2-9-18 with modification to align with the new rule for Transportation (5123:2-9-24).]
- (d) Require each driver to immediately notify the agency provider, in writing, if the driver accumulates six or more points on his or her driving record or if his or her driver's license is suspended or revoked.
- (e) Ensure that each driver completes testing for controlled substances by a laboratory certified for such testing and be determined to be drug free prior to initially providing non-medical transportation. [This is paragraphs (C)(7)(c) and (D)(6)(b) of existing rule 5123:2-9-18.]
- (f) Ensure that each driver completes testing for controlled substances by a laboratory certified for such testing within thirty-two hours and completes testing for blood alcohol level by an entity certified for such testing within ten eight hours of a motor vehicle accident involving the driver while he or she was providing non-medical transportation when: [This is paragraphs (C)(7)(c) and (D)(6)(b) of existing rule 5123:2-9-18.]
 - (i) The accident involves the loss of human life; or
 - (ii) The driver receives a citation ~~that was written within eight hours of the accident~~ under state or local law for a moving traffic violation arising from the accident, if the accident involved:
 - (a) Bodily injury to any person who, as a result of the injury, immediately receives medical treatment away from the scene of the accident; or

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- (b) One or more motor vehicles incurred disabling damage as a result of the accident, requiring the motor vehicle to be transported away from the scene by a tow truck or other motor vehicle.
 - (g) Develop and maintain written policies and procedures regarding the requirements of its drivers.
 - (5) Failure to comply with this rule and rule 5123:2-2-01 of the Administrative Code may result in denial, suspension, or revocation of the provider's certification.
- (D) General requirements for service delivery
 - (1) Non-medical transportation shall be provided pursuant to an individual service plan that conforms to the requirements of paragraph (H) of rule 5101:3-40-01 of the Administrative Code or paragraph (H) of rule 5101:3-42-01 of the Administrative Code, as applicable. The individual service plan shall indicate whether non-medical transportation is to be provided in a modified vehicle or non-modified vehicle, at the per-trip or per-mile rate, and/or by operators of commercial vehicles. *[First sentence aligns with newer rules. Second sentence is based on paragraph (B)(9)(d) of existing rule 5123:2-9-18.]*
 - (2) The service and support administrator shall ensure that a budget limitation for non-medical transportation is determined in accordance with rule 5123:2-9-19 of the Administrative Code when the need for non-medical transportation has been identified through development of the individual service plan. *[Aligns with draft new rules for adult day services.]*
 - (3) A vehicle used for non-medical transportation shall be equipped with:
 - (a) Secure storage space for removable equipment and passenger property; *[This is paragraphs (C)(3)(b) and (D)(4)(a) of existing rule 5123:2-9-18.]*
 - (b) A communication system, that may include cellular communication, capable of two-way communication; and *[This is paragraphs (C)(3)(c) and (D)(4)(b) of existing rule 5123:2-9-18.]*
 - (c) A fire extinguisher and an emergency first-aid kit that are safely secured. *[This is paragraphs (C)(3)(d) and (D)(4)(c) of existing rule 5123:2-9-18.]*
 - (4) On each day a vehicle is used for non-medical transportation, the first driver of the vehicle shall conduct and document a pre-trip inspection of lights, windshield washers/wipers, emergency equipment, mirrors, horn, tires, and brakes. *[This is paragraphs (C)(3)(e) and (D)(4)(d) of existing rule 5123:2-9-18.]*

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- (5) A vehicle used for non-medical transportation shall, at a frequency of at least once every twelve months, be inspected by the Ohio state highway patrol safety inspection unit or a certified mechanic and be determined to be in good working condition. [This is paragraphs (C)(3)(f) and (D)(4)(e) of existing rule 5123:2-9-18.]
 - (6) Each driver and attendant in the vehicle shall comply with federal, state, and local laws and regulations. [This is paragraphs (C)(6)(a) and (D)(5)(a) of existing rule 5123:2-9-18.]
 - (7) A provider shall not bill for adult day support, homemaker/personal care, supported employment-community, supported employment-enclave, or vocational habilitation during the same time non-medical transportation is provided. [This is based on paragraph (D)(2)(c) of existing rule 5123:2-9-18.]
- (E) Requirements for service delivery of non-medical transportation at the per-trip rate
- (1) Non-medical transportation at the per-trip rate shall be provided in:
 - (a) A non-modified vehicle with a passenger capacity of nine or more; or [This is paragraph (C)(2)(a) of existing rule 5123:2-9-18.]
 - (b) A modified vehicle that: [This is paragraph (C)(2)(b) of existing rule 5123:2-9-18.]
 - (i) Has permanent fasteners to secure wheelchairs to the floor or side of the vehicle to prevent wheelchair movement;
 - (ii) Has safety restraints in the vehicle for the purpose of restraining individuals in wheelchairs;
 - (iii) Is equipped with a stable access ramp or hydraulic lift; and
 - (iv) On each day the vehicle is used to provide non-medical transportation, the driver of the vehicle shall conduct and document inspection and testing of the wheelchair fasteners, restraints, and access ramp or hydraulic lift prior to transporting an individual in a wheelchair.
 - (2) Individuals shall be in the vehicle during the times the provider bills the per-trip rate. [This is paragraph (C)(3)(a) of existing rule 5123:2-9-18.]
 - (3) ~~Each driver shall provide a signed statement from a licensed physician declaring that he or she does not have a medical condition, a physical condition including an uncorrected vision and/or hearing impairment, or a mental condition which could interfere with safe driving, safe passenger assistance, the provision of emergency treatment activity, or could jeopardize the health or welfare of~~

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~~individuals being transported.~~ Each driver shall provide a form, completed and signed by a person who is licensed, certified, and/or registered in accordance with Ohio law to perform physical examinations, establishing the driver's physical qualification to provide non-medical transportation. A person employed by an agency provider as a driver prior to January 1, 2007 [i.e., the initial effective date of the administrative rule for this service] is not required to meet the requirements of this paragraph when the agency provider maintains verification that a physical examination was completed at the time of the person's hire. [This is based on paragraph (C)(7)(b) of existing rule 5123:2-9-18.]

(F) Requirements for service delivery of non-medical transportation at the per-mile rate

- (1) Non-medical transportation at the per-mile rate shall be provided in: a non-modified vehicle with a passenger capacity of eight or fewer.

~~(a) A non-modified vehicle with a passenger capacity of eight or fewer; or~~

~~(b) A modified vehicle when the vehicle, driver, or circumstances do not otherwise meet the requirements for non-medical transportation at the per-trip rate.~~

- (2) Individuals shall be in the vehicle during the times the provider bills the per-mile rate except that billing may occur when non-medical transportation is being provided on behalf of an individual who is receiving job development and placement, job training/coaching, ongoing job support, or worksite accessibility in accordance with rules 5123:2-9-15 and 5123:2-9-16 of the Administrative Code. [This is based on paragraph (I)(10) of existing rule 5123:2-9-19.]

- (3) Calculation of the per-mile payment rate for a commute assures that each passenger in the vehicle shares equally in the total cost of the commute. [This is paragraph (D)(2) of existing rule 5123:2-9-18.]

(a) Passengers include individuals enrolled in individual options and level one waivers and individuals who are not enrolled in waivers for purposes of determining the number of individuals in the vehicle during the commute.

(b) The number of miles for each commute is calculated from the point where the first individual who is enrolled in an individual options or level one waiver riding in the vehicle is picked up and the point where the last individual who is enrolled in an individual options or level one waiver in the same vehicle is dropped off at his or her destination.

(G) Requirements for service delivery of non-medical transportation by operators of commercial vehicles

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- (1) Operators of commercial vehicles that provide non-medical transportation are not subject to the requirements set forth in paragraphs (D)(3), (D)(4), and (D)(5) of this rule. [\[This is based on paragraph \(E\) of existing rule 5123:2-9-18.\]](#)
- (2) Operators of commercial vehicles shall comply with federal, state, and local laws and regulations pertaining to the maintenance and operation of the commercial vehicles. [\[This is from paragraph \(E\) of existing rule 5123:2-9-18.\]](#)

(H) Documentation of services

- (1) Service documentation for non-medical transportation at the per-trip rate and non-medical transportation at the per-mile rate shall include each of the following to validate payment for medicaid services: [\[This is based on paragraph \(H\)\(1\) of existing rule 5123:2-9-18.\]](#)
 - (a) Type of non-medical transportation service (i.e., per-trip or per-mile) provided.
 - (b) Date of service.
 - (c) License plate number of vehicle used to provide service.
 - (d) Name of individual receiving service.
 - (e) Medicaid identification number of individual receiving service.
 - (f) Name of provider.
 - (g) Provider identifier/contract number.
 - (h) Signature of the driver of the vehicle or initials of the driver of the vehicle if the signature and corresponding initials are on file with the provider.
 - (i) Number of miles in each distinct trip and/or commute, as indicated by recording beginning and ending odometer readings.
 - (j) Names of all other passengers/riders, including paid staff or volunteers, who were in the vehicle during any portion of the trip and/or commute.
 - (k) Begin and end times of the trip and/or commute.
- (2) Service documentation for non-medical transportation by operators of commercial vehicles shall include each of the following to validate payment for medicaid services: [\[This is based on paragraph \(H\)\(2\) of existing rule 5123:2-9-18.\]](#)

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- (a) Type of non-medical transportation service (i.e., by bus, livery vehicle, or taxicab) provided.
- (b) Date of service or, in the case of a purchase of bus fares, taxicab tokens, or similar types of travel vouchers to be used on more than one date, date of purchase.
- (c) Name of individual receiving service.
- (d) Medicaid identification number of individual receiving service.
- (e) Name of provider.
- (f) Provider identifier/contract number.
- (g) Receipt issued by operator of commercial vehicles indicating the amount paid.

(I) Payment standards [\[Aligns with structure in newer rules.\]](#)

- (1) The billing units, service codes, and payment rates for non-medical transportation are contained in appendix A to this rule. Payment rates for non-medical transportation at the per-trip rate and non-medical transportation at the per-mile rate include an adjustment based on the county cost-of-doing-business category for the county in which the preponderance of service was provided. The cost-of-doing-business categories are contained in appendix B to this rule.
- (2) Operators of commercial vehicles shall be paid their usual and customary fares.
- (3) Payment for non-medical transportation shall not exceed the budget limitations contained in appendix C to rule 5123:2-9-19 of the Administrative Code.

Replaces: 5123:2-9-18, Part of 5123:2-9-19

Effective: XX/XX/XXXX

R.C. 119.032 review dates: XX/XX/XXXX

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APPENDIX A

BILLING UNITS, SERVICE CODES, AND PAYMENT RATES FOR NON-MEDICAL TRANSPORTATION

[This is from Appendix C to existing rule 5123:2-9-19.]

Non-Medical Transportation at the Per-Trip Rate

Billing Unit: Per one-way trip

Service Codes: Individual Options Waiver ATB
Level One Waiver FTB

Payment Rates: Listed below by cost-of-doing-business (CODB) category. Rates are presented on a per-person basis and shall not be further altered, irrespective of the number of persons being transported simultaneously. Rate calculations include the cost of the vehicle driver.

CODB Category	One-Way Trip In Vehicle
1	\$18.73
2	\$18.93
3	\$19.12
4	\$19.31
5	\$19.51
6	\$19.70
7	\$19.90
8	\$20.09

Non-Medical Transportation at the Per-Mile Rate

Billing Unit: Per mile based on commute

Service Codes: Individual Options Waiver ATW
Level One Waiver FTW

Payment Rate: Listed below by cost-of-doing-business (CODB) category. Rates are paid to commute one mile. To calculate the total cost of the commute, divide the rate per mile by the total number of passengers in the vehicle at any one time during the commute. Multiply the resulting per-person, per-mile rate by the number of miles in the commute to determine the per-person amount to be

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billed to the waiver for the commute. Rate calculations include the cost of the vehicle driver.

CODB Category	Rate Per Mile
1	\$1.25
2	\$1.25
3	\$1.27
4	\$1.27
5	\$1.27
6	\$1.29
7	\$1.29
8	\$1.29

Non-Medical Transportation by Operators of Commercial Vehicles

Billing Unit: Per trip, token, or voucher

Service Codes: Individual Options Waiver ATT
Level One Waiver FTT

Payment Rate: Usual and customary fare.

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APPENDIX B

COST-OF-DOING-BUSINESS CATEGORIES

[This is from Appendix A of existing rule 5123:2-9-19.]

Category 1: Adams
Athens
Belmont
Gallia
Guernsey
Harrison
Jefferson
Meigs
Monroe
Pike
Ross
Scioto
Tuscarawas
Vinton
Washington

Category 2: Carroll
Crawford
Defiance
Highland
Hocking
Jackson
Lawrence
Mercer
Morgan
Muskingum
Noble
Paulding
Perry
Van Wert
Wyandot

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Category 3: Allen
Auglaize
Brown
Clinton
Columbiana
Coshocton
Fayette
Hancock
Holmes
Knox
Marion
Morrow
Putnam
Richland
Seneca
Shelby
Williams

Category 4: Ashland
Darke
Erie
Fairfield
Fulton
Hardin
Henry
Huron
Licking
Logan
Mahoning
Pickaway
Sandusky
Stark
Trumbull
Wood