## 10/1/16-12/31/16 Citations by Standard

Row Labels	Count of Citation
Administration & Operation-ICF	2
Behavior Support-ICF	59
Medication Administration-ICF	4
MUI/UI-ICF	22
Personal Funds-ICF	13
Person-Centered Planning-ICF	18
Personnel-ICF	26
Physical Environment-ICF	11
Provision of Services/IP Implementation-ICF	19
<b>Grand Total</b>	174

ICF Licensure Citations 10/1/16-12/31/16

		ICF Licensure Citations 10/1/16-12/31/16		
Q Sub Section (Question) (Review Question)	Provider Review Question	Question	Imme diate Citati on	Review Date (Review) (Review)
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Administratio				
n & Operation-		Is the ICF following all applicable local, state, and	,	
ICF	1.002	federal rules and regulations?	No	10/12/2016 9:30
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Administratio				
n & Operation-		Is the ICF following all applicable local, state, and		
ICF	1.002	federal rules and regulations?	No	11/15/2016 8:00
	7			
Person-				
Centered			1	
Planning-ICF	1.003	Was the plan reviewed annually? 5123:2-3-17	No	10/27/2016 11:00

Did the ICF/IID complete a valid initial BCII/FBI check Personnel-ICF 2.001 for direct service employees? No 10/18/2016 9:0	
Personnel-ICF 2.001 for direct service employees? No 10/18/2016 9:	
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Did the ICF/IID complete a valid initial BCII/FBI check	
Personnel-ICF   2.001   for direct service employees?   No   11/16/2016 10:0	20
Tersormer fer 2.001 for direct service employees:	$\stackrel{\sim}{\dashv}$
Did the ICF/IID complete a valid initial BCII/FBI check	
Personnel-ICF 2.001 for direct service employees? No 11/9/2016 10:0	20
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Medication   If the individual(s) being served are unable to self-	1
Administratio medicate, is the medication stored in a secure	ı
n-ICF 2.001 location? 5123:2-3-09 No 10/27/2016 11:0	)0
Did the ICF/IID complete a valid BCII/FBI check every 5	
Personnel-ICF   2.002   years for direct service employees?   No   12/28/2016 9:0	00

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		5:141 405/93		·
Personnel-ICF	2.002	Did the ICF/IID complete a valid BCII/FBI check every 5 years for direct service employees?	Yes	11/9/2016 9.20
reisonnei-icr	2.002	years for direct service employees:	165	11/8/2016 8:30
1				
		Are all ICF staff enrolled in RAPBACK within 30 days of		
Personnel-ICF	2.003	his or her initial criminal records check by the bureau of criminal investigation	No	11/15/2016 8:00
T CISCILIEI ICI	2.003	or criminal investigation	110	11/13/2010 0.00
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		Did the ICF/IID complete the initial and 5 year BCII/FBI		
Personnel-ICF	2.004	checks in a timely manner?	No	11/15/2016 8:00
	*			
				·
		Did the ICF/IID complete the initial and 5 year BCII/FBI		
Personnel-ICF	2.004	checks in a timely manner?	Yes	12/12/2016 10:00
				<i>2</i>
		Did the ICF/IID complete the initial and 5 year BCII/FBI		
Personnel-ICF	2.004	checks in a timely manner?	No	11/9/2016 10:00

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		Did the ICF/IID complete the initial and 5 year BCII/FBI		11/0/001000
Personnel-ICF	2.004	checks in a timely manner?	No	11/8/2016 8:30
		Did the ICF/IID complete the following initial database		
		checks for employees?		
				·
		•Inspector General's Exclusion List		
		•Sex Offender and Child Victim Offenders Database		
		•U.S. General Services Administration System for		· .
\$ 6.00 miles		Award Management Database		
Personnel-ICF	2.005	Database of Incarcerated and Supervised Offenders	No	10/12/2016 9:30
* .		Did the ICF/IID complete the following database checks		
		every five years for employees?		
		•Inspector General's Exclusion List		
		•Sex Offender and Child Victim Offenders Database		
		•U.S. General Services Administration System for		
4	1.1	Award Management Database		
Personnel-ICF	2.006	Database of Incarcerated and Supervised Offenders	Yes	12/28/2016 9:00
,		Did the ICF/IID complete the initial and 5 year database		
		checks in a timely manner?		
		•Inspector General's Exclusion List		
		•Sex Offender and Child Victim Offenders Database		
		•U.S. General Services Administration System for		
		Award Management Database	. :	
Personnel-ICF	2.007	Database of Incarcerated and Supervised Offenders	No	11/9/2016 10:00
		Did the ICF/IID complete the initial and 5 year database		
		checks in a timely manner?		
*		•Inspector General's Exclusion List		
		Sex Offender and Child Victim Offenders Database		
		•U.S. General Services Administration System for		
		Award Management Database		
Personnel-ICF	2.007	Database of Incarcerated and Supervised Offenders	No	10/25/2016 10:00
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	·	Did the ICF/IID ensure that direct services are only		
		provided by employees who do not have a		
:		disqualifying offense and who are not included on any		
Personnel-ICF	2.008	of the databases identified in rule?	Yes	10/20/2016 9:30
		Did the ICF/IID ensure that direct services are only		
		provided by employees who do not have a		,
	,	disqualifying offense and who are not included on any		
Personnel-ICF	2.008	of the databases identified in rule?	Yes	11/16/2016 10:00
		Did the ICF/IID ensure that direct services are only		
		provided by employees who do not have a		
		disqualifying offense and who are not included on any		
Personnel-ICF	2.008	of the databases identified in rule?	Yes	11/17/2016 9:30
		For direct service staff, did the staff person receive		
		annual training prior to providing services with		
		individuals that included:		
		(i) The role and responsibilities of direct services staff		
		with regard to services including person-centered planning, community integration, self-determination,		
		and self-advocacy;		
Personnel-ICF	2.019	(ii) Initial rights training including the health and	No	12/14/2016 10:00
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				·
		For all direct convice staff did the staff nerson animals		
		For all direct service staff, did the staff person, prior to implementation, receive training on the individual's		
Personnel-ICF	2.020	IP/BSP?	No	11/7/2016 10:00
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		For all direct service staff, did the staff person, prior to		
		implementation, receive training on the individual's		
Personnel-ICF	2.020	IP/BSP?	No	10/12/2016 9:30
	·			
		For all direct service staff, did the staff person, prior to		
Personnel-ICF	2.020	implementation, receive training on the individual's IP/BSP?	No	12/21/2016 8:00
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en jiwa sa Anatha ini ini a				
		For all direct service staff, did the staff person, prior to		
1.105	2 020	implementation, receive training on the individual's	N	11/0/2016 0.20
Personnel-ICF	2.020	IP/BSP?	No	11/9/2016 9:30
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		   For all direct service staff, did the staff person, prior to		
		implementation, receive training on the individual's		
Personnel-ICF	2.020	IP/BSP?	No	12/14/2016 10:00
		For all direct service staff, did the staff person, have		
		initial training on the actions to take in the event of a		, .
Personnel-ICF	2.021	fire or other emergency?	No	11/14/2016 9:00

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		Is staff available based on the assessed needs of the		
Personnel-ICF	2.024	individuals?	No	10/25/2016 10:00
			,	
		Does the ICF staff, who provide direct services, have a		42/42/2046 40 00
Personnel-ICF	2.025	high school diploma or GED?	No	12/12/2016 10:00
				4. 7
		Has the ICF-IID completed emergency drills (tornado		
Physical		and fire) and completed a written record of each drill		
Environment-	2 001	which addresses individual specific needs based on the		12/14/2016 10:00
ICF	3.001	outcome of these drills?	No	12/14/2016 10:00
Physical			. 1	
Environment-	2 002	Did the ICF ensure that each individual participated in	N.	10/12/2016 0.20
ICF	3.002	initial fire safety and emergency response training?	No	10/12/2016 9:30
Physical				
Environment-	2 003	Did the ICF ensure that each individual participated in	No	10/12/2016 0:20
ICF	3.003	annual fire safety and emergency response training?	No	10/12/2016 9:30

		Table 1. The second sec	<del></del>	·
  Physical				
Environment-		Did the ICF ensure that each individual participated in		
ICF	3.003	annual fire safety and emergency response training?	No	12/28/2016 9:00
			1	
Physical		Are the interior, exterior and grounds of the building		
Environment-		maintained in good repair and in a clean and sanitary		
ICF	3.004	manner?	No	10/12/2016 9:30
-				
			-	
Physical		Are the interior, exterior and grounds of the building	,	
Environment-	3.004	maintained in good repair and in a clean and sanitary manner?	No	11/1/2016 0.00
IICF	3.004	infamer:	INO	11/1/2016 9:00
Physical		Are the interior, exterior and grounds of the building		
Environment-		maintained in good repair and in a clean and sanitary		
ICF .	3.004	manner?	No	10/5/2016 9:30
-				
Physical		Are the interior, exterior and grounds of the building		
Environment-		maintained in good repair and in a clean and sanitary		
ICF	3.004	manner?	No	11/9/2016 9:30

	9			
Physical		Are the interior, exterior and grounds of the building		
Environment-		maintained in good repair and in a clean and sanitary	, f	
ICF	3.004	manner?	No	12/12/2016 10:00
			-	
Physical		Are the interior, exterior and grounds of the building		
Environment-		maintained in good repair and in a clean and sanitary		
ICF	3.004	manner?	No	11/9/2016 10:00
		Was the individual able to independently get around		
Physical		his/her home and have unrestricted access to all areas		
Environment-		of the home and grounds unless otherwise indicated in		
ICF	3.008	the IP?	No	10/12/2016 9:30
		Does the provider/county board have a human rights		
		committee that includes the following?		
		At least 4 people		
		• At least 1 individual who receives or is eligible to		
		receive specialized services		
		Qualified persons with training or experience in		
Behavior		contemporary practices of Behavior Support		
Support-ICF	3.01	Reflect a balance of:	No	10/27/2016 11:00
		Using person centered planning, has the plan been		
		developed based on the results of the Comprehensive		
		Functional Assessment (CFA) as it relates to:		
		•Ensure health and welfare,		
Person-		•Assist the individual to engage in meaningful activities		
Centered		•Support community connections		
Planning-ICF	4.002	Assist in improving self-advocacy skills	No	12/19/2016 10:30

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		Using person centered planning, has the plan been	-	
		developed based on the results of the Comprehensive		*
		Functional Assessment (CFA) as it relates to:		
		<ul><li>Ensure health and welfare,</li></ul>		
Person-		•Assist the individual to engage in meaningful activities		*
Centered		•Support community connections		
Planning-ICF	4.002	•Assist in improving self-advocacy skills	No	11/8/2016 9:30
	-	Does the assessment/planning process consider;		1
		•What is important to the individual		
		· ·		
		•What is important for the individual		
D = =		Known and likely risk		
Person-		• Place on the path to employment		
Centered		Desired community employment outcome		
Planning-ICF	4.003	What is working and not working	No	11/1/2016 9:00
		Does the assessment/planning process consider;		,
		What is important to the individual		· ·
		What is important for the individual		
		Known and likely risk		e e e e e e e e e e e e e e e e e e e
Person-		Place on the path to employment		
Centered		Desired community employment outcome		
Planning-ICF	4.003	What is working and not working	No	10/5/2016 9:00
·		Was there evidence that the QPID provided the		
		individual with information about the services and		
Person-		supports available in the ICF and the services and		
Centered		supports available to individual enrolled on an HCBS		
Planning-ICF	4.005	Waiver?	No	10/12/2016 9:30
		Was there evidence that the QPID provided the		
		individual with information about the services and		
Person-		supports available in the ICF and the services and		
Centered		supports available to individual enrolled on an HCBS		
Planning-ICF	4.005	Waiver?	No	12/28/2016 9:00
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Person-				
Centered		Did the individual or guardian give consent to the IP		
Planning-ICF	4.006	prior to implementation?	No	10/25/2016 9:30
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Person-				
Centered		Did the individual or guardian give consent to the IP		
Planning-ICF	4.006	prior to implementation?	No	12/21/2016 8:00
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Person-	·			
Centered		Did the individual or guardian give consent to the IP		
Planning-ICF	4.006	prior to implementation?	No	10/5/2016 9:00
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Person-				
Centered		Did the individual or guardian give consent to the IP		
Planning-ICF	4.006	prior to implementation?	No	11/14/2016 9:00
Person-				
Centered				
Planning-ICF	4.007	Was the plan reviewed annually?	No	10/12/2016 9:30

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Person-				N. Carlotte
Centered				10/01/0010000
Planning-ICF	4.007	Was the plan reviewed annually?	No	12/21/2016 8:00
	2			
Person-				
Centered		Was the IP revised based on the changes in the		
Planning-ICF	4.008	individuals needs/wants?	No	10/12/2016 9:30
Person-				
Centered		Was the IP revised based on the changes in the		
Planning-ICF	4.008	individuals needs/wants?	No	12/14/2016 10:00
Person-	1			
Centered		Was the IP revised based on the changes in the		
Planning-ICF	4.008	individuals needs/wants?	No	12/28/2016 9:00
		Does the IP include a discharge plan that identifies;	-	
		•The supports and services necessary for the		
		The state of the s	i	ı
6.7 2.7 3.7 3.7 3.7 3.7		individual's transition to an integrated community		
Person-		individual's transition to an integrated community setting		
Person- Centered				

		Does the IP include a discharge plan that identifies;		* .
		•The supports and services necessary for the		
		individual's transition to an integrated community		
Person-		setting		
Centered		•Strategies for overcoming barriers to community		
Planning-ICF	4.009	integration	No	12/28/2016 9:00
Provision of				
Services/IP				
Implementati		Are medication, treatments and dietary orders being		
on-ICF	5.001	followed as indicated in the IP?	No	11/2/2016 16:00
ter Militaria Militaria				
Provision of				
Services/IP				
Implementati		Are medication, treatments and dietary orders being		
on-ICF	5.001	followed as indicated in the IP?	No	10/18/2016 9:00
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Provision of				
Services/IP				
Implementati		Are medication, treatments and dietary orders being		-
on-ICF	5.001	followed as indicated in the IP?	No	12/21/2016 8:00
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Provision of				
Services/IP				
Implementati		Are medication, treatments and dietary orders being		
on-ICF	5.001	followed as indicated in the IP?	No	12/14/2016 10:00

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Provision of				
Services/IP				
		Are medication, treatments and dietary orders being		
Implementati on-ICF	5.001	followed as indicated in the IP?	No	10/25/2016 10:00
on-icr	5.001	Tollowed as indicated in the IP?	No	10/25/2016 10:00
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Provision of				
Services/IP				
Implementati	F 001	Are medication, treatments and dietary orders being followed as indicated in the IP?	Na	10/5/2016 0:00
on-ICF	5.001	Tollowed as indicated in the IP?	No	10/5/2016 9:00
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Provision of				
Services/IP				
Implementati	F 002	la the ID hair a invalence at a decrease a	N	10/12/2016 0.20
on-ICF	5.002	Is the IP being implemented as written?	No	10/12/2016 9:30
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Provision of				
Services/IP				N. Carlos
Implementati	5 000	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1		12/21/2016 0.00
on-ICF	5.002	Is the IP being implemented as written?	No	12/21/2016 8:00
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Angel Mari				
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Provision of				
Services/IP				
Implementati	F 003	le the ID being implemented as written?	No	12/14/2016 10:00
on-ICF	5.002	Is the IP being implemented as written?	No	12/14/2016 10:00

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Provision of				
Services/IP				
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on-ICF	5.002	Is the IP being implemented as written?	No	10/25/2016 10:00
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Provision of				
Services/IP				
Implementati	F 000	Is there evidence that the provider took action on an		12/20/2016 0 00
on-ICF	5.003	individual's need/want, when they were aware of it?	No	12/28/2016 9:00
Provision of				
Services/IP		We will be the street to the street and the street		
Implementati	F 006	Were individuals attending day programming at a	N	10/2/2016 14.00
on-ICF	5.006	building at least 200 feet from the ICF-IID?	No	10/3/2016 14:00
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Provision of		led to the state of the state o		
Services/IP		If the IP includes assistance with money management,		
Implementati	F 000	are the individual's monies being managed as indicated	1	40/42/2046020
on-ICF	5.008	in the plan?	No	10/12/2016 9:30
Provision of		In the ID technique and the College		
Services/IP		If the IP includes assistance with money management,		
Implementati		are the individual's monies being managed as indicated		40/5/2010 2 22
on-ICF	5.008	in the plan?	No	10/5/2016 9:00

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2			-	,
Provision of				
Services/IP		If the IP includes assistance with money management,		
Implementati		are the individual's monies being managed as indicated		
on-ICF	5.008	in the plan?	No	10/5/2016 9:00
Provision of				
Services/IP		If the IP includes assistance with money management,		
Implementati		are the individual's monies being managed as indicated		
on-ICF	5.008	in the plan?	No	10/5/2016 9:00
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Provision of				
Services/IP		If the IP includes assistance with money management,		* * * * * * * * * * * * * * * * * * *
Implementati		are the individual's monies being managed as indicated	:	
on-ICF	5.008	in the plan?	No	10/5/2016 9:00
No. 2			-	e e e
Provision of				
Services/IP		If the IP includes assistance with money management,		
Implementati	N N	are the individual's monies being managed as indicated		
on-ICF	5.008	in the plan?	No	10/5/2016 9:00
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Provision of				· · · · · · · · · · · · · · · · · · ·
Services/IP		If the IP includes assistance with money management,		
Implementati		are the individual's monies being managed as indicated		
on-ICF	5.008	in the plan?	No	10/5/2016 9:00
DIT-ICI	15.000	In the plan:	1110	10/3/2010 3.00

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Medication		If the individual(s) being served are unable to self-		
Administratio		medicate, was a self-medication assessment		
n-ICF	6.003	completed?	No	10/5/2016 10:00
	1			10,0,1010
Medication		If delegated nursing is identified in the plan, is there a		
Administratio		statement of delegation, evidence of on-going		
n-ICF	6.006	assessment, and annual skills checklist?	No	10/6/2016 10:00
				4
	1.5			
Medication		If delegated nursing is identified in the plan, is there a		
Administratio		statement of delegation, evidence of on-going		
n-ICF	6.006	assessment, and annual skills checklist?	No	10/5/2016 10:00
			-	
		If the plan has behavioral strategies that include		
-		restrictive measures, is there evidence that the		
		strategy was reviewed by the individual and the team		
Behavior		at least every 90 days to determine the effectiveness		
Support-ICF	7.002	of the strategy?	No	10/18/2016 9:00
		If the plan has behavioral strategies that include		
		restrictive measures, is there evidence that the		
		strategy was reviewed by the individual and the team		
Behavior	7.003	at least every 90 days to determine the effectiveness		44 /0 /204 6 0 22
Support-ICF	7.002	of the strategy?	No	11/9/2016 9:30

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		If the plan has behavioral strategies that include		*
		restrictive measures, is there evidence that the		
		strategy was reviewed by the individual and the team	-	
Behavior		at least every 90 days to determine the effectiveness		
Support-ICF	7.002	of the strategy?	No	12/14/2016 10:00
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		If the plan has behavioral strategies that include		
		restrictive measures, is there evidence that the		
		strategy was reviewed by the individual and the team		
Behavior		at least every 90 days to determine the effectiveness		
Support-ICF	7.002	of the strategy?	No	10/5/2016 9:00
		3	<b>1</b>	
		If the plan has behavioral strategies that include		
		restrictive measures, is there evidence that the		
		strategy was reviewed by the individual and the team		
Daharian		The state of the s		
Behavior	7.000	at least every 90 days to determine the effectiveness	N-	10/5/2016 0:00
Support-ICF	7.002	of the strategy?	No	10/5/2016 9:00
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		If the plan has behavioral strategies that include	1	
		restrictive measures, is there evidence that the		
		strategy was reviewed by the individual and the team		
Behavior		at least every 90 days to determine the effectiveness		
Support-ICF	7.002	of the strategy?	No	10/5/2016 9:00
		If the plan has behavioral strategies that include		7
		restrictive measures, is there evidence that the		
	,	strategy was reviewed by the individual and the team		
Behavior		at least every 90 days to determine the effectiveness		
Support-ICF	7.002	of the strategy?	No	10/5/2016 9:00
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		If the plan includes restrictive measures, is there		
Behavior		evidence of an assessment within the past twelve months that clearly describes risk of harm or likelihood		
Support-ICF	7.003	of legal sanction?	No	11/21/2016 8:10
Support	7.005	· ·	140	11/21/2010 0:10
		If the plan includes restrictive measures, is there	-	·
		evidence of an assessment within the past twelve		
Behavior		months that clearly describes risk of harm or likelihood		
Support-ICF	7.003	of legal sanction?	No	10/13/2016 9:00
		If the plan includes restrictive measures, is there		
		evidence of an assessment within the past twelve		
Behavior	7 003	months that clearly describes risk of harm or likelihood		10/5/2016 0.00
Support-ICF	7.003	of legal sanction?	No	10/5/2016 9:00
				<del>-</del>
The Control of the Co		If the plan includes restrictive measures, is there		
		evidence of an assessment within the past twelve		
Behavior		months that clearly describes risk of harm or likelihood		
Support-ICF	7.003	of legal sanction?	No	10/5/2016 9:00
	in the second			
	,			
Behavior		Were all restrictive measures addressed in the plan and	i	
Support-ICF	7.004	approved by the Human Rights Committee?	No	10/12/2016 9:30

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Section 1				
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			-	
Behavior		Were all restrictive measures addressed in the plan and		
Support-ICF	7.004	approved by the Human Rights Committee?	No	10/5/2016 9:30
			,	
  Behavior	,	  Were all restrictive measures addressed in the plan and		
Support-ICF	7.004	approved by the Human Rights Committee?	No	11/15/2016 8:00
Behavior	7.004	Were all restrictive measures addressed in the plan and	No	11/8/2016 8:30
Support-ICF	7.004	approved by the Human Rights Committee?	INO	11/8/2010 8.30
				,
Behavior		Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	10/12/2016 9:30
The control of the co				
			***	
Behavior		Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	11/1/2016 9:00
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				44.7
Behavior		Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	11/21/2016 8:10
				* · · · · · · · · · · · · · · · · · · ·
Behavior		Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	11/8/2016 9:30
Behavior		Are restrictive strategies person-centered and		
	7.006		No	12/14/2016 10:00
Support-ICF	7.000	interwoven into a single plan?	NO	12/14/2016 10.00
•				
Behavior	1	Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	10/20/2016 10:00
	* * * * * * * * * * * * * * * * * * *			
Behavior		Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	10/5/2016 9:00
Support-ICF	7.006	interwoven into a single plan?	No	10/20/2016 10:00

	·	_		
Behavior		Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	10/5/2016 9:00
			-	
Behavior	7.006	Are restrictive strategies person-centered and		10/5/2016 0 00
Support-ICF	7.006	interwoven into a single plan?	No	10/5/2016 9:00
	12			
* 1 2 2 2 3				
Behavior		Are restrictive strategies person-centered and		,
Support-ICF	7.006	interwoven into a single plan?	No	11/14/2016 9:00
Behavior		Are restrictive strategies person-centered and		
Support-ICF	7.006	interwoven into a single plan?	No	11/9/2016 10:00
D-L:-	:	Is there evidence that informed consent was received		12
Behavior	7.007	from the individual or guardian prior to the restrictive	No	10/12/2016 0:20
Support-ICF	7.007	strategies being submitted to the HRC for approval?	No	10/12/2016 9:30

e production of the second				, in the second second
		Is there evidence that informed consent was received		
Behavior		from the individual or guardian prior to the restrictive		
Support-ICF	7.007	strategies being submitted to the HRC for approval?	No	10/5/2016 9:30
	. *			
	- N			
		Is there evidence that informed consent was received		
Behavior		from the individual or guardian prior to the restrictive		
Support-ICF	7.007	strategies being submitted to the HRC for approval?	No	11/9/2016 9:30
	*			
		Is there evidence that informed consent was received		
Behavior		from the individual or guardian prior to the restrictive		
Support-ICF	7.007	strategies being submitted to the HRC for approval?	No	12/14/2016 10:00
			,	
		Is there evidence that informed consent was received		
Behavior		from the individual or guardian prior to the restrictive		
Support-ICF	7.007	strategies being submitted to the HRC for approval?	No	11/15/2016 8:00
			-	
All Sections of the Control of the C				
		Is there evidence that informed consent was received		
Behavior		from the individual or guardian prior to the restrictive		
Support-ICF	7.007	strategies being submitted to the HRC for approval?	No	10/5/2016 9:00
Experience and the second		The state of the s	<u> </u>	

				4 1
9				
		Is there evidence that informed consent was received		
Behavior		from the individual or guardian prior to the restrictive		
Support-ICF	7.007	strategies being submitted to the HRC for approval?	No	10/5/2016 9:00
		Is the behavior support strategy directed at:		
		1. Mitigating risk of harm or legal sanction		
		2. Reducing and eliminating need for restrictive	,	
		measures		
		3. Ensuring the environment includes preferred		
Behavior		activities so individuals are less likely to engage in		
Support-ICF	7.008	unsafe actions due to behavior	No	11/7/2016 10:00
		Is the behavior support strategy directed at:		
	ar.	1. Mitigating risk of harm or legal sanction		
		2. Reducing and eliminating need for restrictive		
		measures		
		3. Ensuring the environment includes preferred		
Behavior		activities so individuals are less likely to engage in		11/0/2016 0.20
Support-ICF	7.008	unsafe actions due to behavior	No	11/8/2016 9:30
		le the helpovier support strategy directed at		
		Is the behavior support strategy directed at:  1. Mitigating risk of harm or legal sanction		. 4
		2. Reducing and eliminating need for restrictive		
		measures		
		3. Ensuring the environment includes preferred		
Behavior		activities so individuals are less likely to engage in		
Support-ICF	7.008	unsafe actions due to behavior	No	10/12/2016 9:30
Сарроно				
,	, t			
		Is there a provider record of the date, time, duration,		
		and antecedent factors regarding each use of a		
		restrictive measure other than a restrictive measure		
Behavior		that is not based on antecedent factors (e.g. Bed alarm		
Support-ICF	7.009	or locked cabinet)?	No	11/15/2016 8:00

	<u></u>		<del></del>	<del></del>
		Does the provider/county board have a human rights		
		committee that includes the following?		
		At least 4 people		
		<ul> <li>At least 1 individual who receives or is eligible to</li> </ul>		
		receive specialized services		
		<ul> <li>Qualified persons with training or experience in</li> </ul>		
Behavior		contemporary practices of Behavior Support		
Support-ICF	7.010	Reflect a balance of:	No	10/18/2016 9:00
		Does the provider/county board have a human rights		
		committee that includes the following?		
		At least 4 people		
		<ul> <li>At least 1 individual who receives or is eligible to</li> </ul>		
		receive specialized services		
		<ul> <li>Qualified persons with training or experience in</li> </ul>		
Behavior		contemporary practices of Behavior Support		
Support-ICF	7.010	Reflect a balance of:	No	11/21/2016 8:10
		Does the provider/county board have a human rights	1	
		committee that includes the following?		
		At least 4 people		
		At least 1 individual who receives or is eligible to		
		receive specialized services		
		Qualified persons with training or experience in		
Behavior		contemporary practices of Behavior Support		
Support-ICF	7.010	Reflect a balance of:	No	12/19/2016 10:30
		Does the provider/county board have a human rights		
		committee that includes the following?		
		• At least 4 people		
		• At least 1 individual who receives or is eligible to		
		receive specialized services		
		<ul> <li>Qualified persons with training or experience in</li> </ul>		
Behavior		contemporary practices of Behavior Support		
Support-ICF	7.010	• Reflect a balance of:	No	10/20/2016 10:00
	7.1020	Does the provider/county board have a human rights	1.0	10,20,2010 10.00
		committee that includes the following?		
		• At least 4 people	·	
	1	• At least 1 individual who receives or is eligible to		
		receive specialized services		
·		Qualified persons with training or experience in		
  Behavior		contemporary practices of Behavior Support		
Support-ICF	7.010	Reflect a balance of:	No	10/5/2016 0:00
Support-ICF	17.010	Te heliect a balance of.	INO	10/5/2016 9:00

		Did all members of the Human Rights Committee		
		receive department approved training within three		
		months of appointment to the committee in: rights of		
		individuals with disabilities, person-centered planning,		
Behavior		informed consent, confidentiality, and the		
Support-ICF	7.011		No	11/9/2016 9.20
Support-ICF	7.011	requirements of 5123:2-2-06?	INO	11/8/2016 8:30
				*
1	,	If the service plan includes restrictive measures, did the		
Behavior		Human Rights Committee review and approve the plan	-	
Support-ICF	7.012	prior to implementation?	No	10/12/2016 9:30
		If the service plan includes restrictive measures, did the		
Behavior		Human Rights Committee review and approve the plan		
Support-ICF	7.012	prior to implementation?	No	10/5/2016 9:30
				*
		  If the service plan includes restrictive measures, did the		e e e e e e e e e e e e e e e e e e e
Behavior		Human Rights Committee review and approve the plan	l .	
Support-ICF	7.012	<u> </u>	No	12/14/2016 10:00
Support-ICF	7.012	prior to implementation?	INO	12/14/2016 10:00
			,	
		If the service plan includes restrictive measures, did the		
Behavior		Human Rights Committee review and approve the plan		
Support-ICF	7.012	prior to implementation?	No .	11/14/2016 9:00

		If the service plan includes time out or restraint, are	,	
Behavior		the interventions being implemented only when risk of	,	
Support-ICF	7.013	harm is evidenced?	No	10/18/2016 9:00
				•
		If the service plan includes rights restrictions, are the		
Behavior		interventions being implemented only when risk of		
Support-ICF	7.014	harm or likelihood of legal sanction are evidenced?	No	10/18/2016 9:00
		If the plan includes a restrictive passeum is there		
Behavior		If the plan includes a restrictive measure, is there evidence that the Restrictive Measure Notification was		
Support-ICF	7.015	submitted prior to implementation?	No	10/18/2016 9:00
опроложения				
5.1		If the plan includes a restrictive measure, is there		
Behavior Support-ICF	7.015	evidence that the Restrictive Measure Notification was submitted prior to implementation?	No	10/5/2016 10:00
Support-ICF	7.013	submitted prior to implementation:	INO	10/3/2010 10:00
		If the plan includes a restrictive measure, is there		
Behavior Support-ICF	7.015	evidence that the Restrictive Measure Notification was	No	   11/21/2016 8:10
	17 04 5	submitted prior to implementation?	IRI -	. 44/34/30460.40

photos and a second		-		·
		If the plan includes a restrictive measure, is there		
Behavior		evidence that the Restrictive Measure Notification was		7
Support-ICF	7.015	submitted prior to implementation?	No	12/14/2016 10:00
		Upon identification of a MUI, is there evidence that the		
	*	ICF-IID took the following immediate actions as		
		appropriate:		
		•Immediate and on-going medical attention		
	-	•Removal of an employee from direct contact with any		
		at-risk individual when the employee is alleged to have		
		been involved in abuse or neglect until such time as the		
MUI/UI-ICF	8.001	ICF-IID/DD has reasonably determined that such	No	11/9/2016 10:00
	1	Is there evidence that the ICF-IID notified the county		
		board about the below listed incidents within 4 hours	1	**
		of discovery?		
		•Abuse (physical, sexual and verbal)		
		•Exploitation		
		Misappropriation		
		Neglect		
MUI/UI-ICF	8.002	•Suspicious/Accidental Death	No	11/1/2016 9:00
IVIOI/OI-ICF	0.002	Is there evidence that the ICF-IID notified the county	INO	11/1/2016 9:00
		board about the below listed incidents within 4 hours		
		of discovery?		
		•Abuse (physical, sexual and verbal)		
		• Exploitation		
		• Misappropriation		
		•Neglect		
MUI/UI-ICF	8.002	Suspicious/Accidental Death	No	10/20/2016 9:30
	4			·
		Is there evidence that the ICF-IID submitted a written		
		incident report to the county board contact or		
		designee no later than three p.m. the next working day		
		following initial knowledge of a potential or		
MUI/UI-ICF	8.003	determined major unusual incident?	No	11/9/2016 10:00
			<del></del>	

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	4.2	lud de la companya de		
		Is there evidence the ICF-IID cooperated with the		,
N 41 11 /1 11 105	0.006	investigation of MUIs? Timely submission of requested		10/12/2016 0 20
MUI/UI-ICF	8.006	information	No	10/12/2016 9:30
e de la companya de l				
		Is there evidence that a prevention plan was identified,	,	
		that the prevention plan addressed the causes and		
		contributing factors identified in the investigation and		
MUI/UI-ICF	8.007	that the individual's IP was revised if necessary?	No	12/28/2016 9:00
	0,007	the the manager of the control of th		
		Did the ICF-IID conduct a monthly review of unusual		
MUI/UI-ICF	8.009	incidents?	No	10/12/2016 9:30
				,
		Did the ICF-IID conduct a monthly review of unusual		
MUI/UI-ICF	8.009	incidents?	No	12/14/2016 10:00
	,	Is there evidence that the Pattern and Trends Analysis		
		report contains the following required elements:		
		•Date of review;		
		Name of person completing review;		
		•Time period of review;		
		•Comparison of data for previous three years;		
MILITURE	0.011	• Explanation of data;	No	10/12/2016 0:20
MUI/UI-ICF	8.011	Data for review by major unusual incident category	No	10/12/2016 9:30

		<b></b>		
		Is there evidence that the Pattern and Trends Analysis		
		report contains the following required elements:		
		•Date of review;		
		<ul><li>Name of person completing review;</li></ul>		
		•Time period of review;		**************************************
		<ul> <li>Comparison of data for previous three years;</li> </ul>		
		•Explanation of data;		
MUI/UI-ICF	8.011	Data for review by major unusual incident category	No	10/25/2016 10:00
				•
				V. C.
,				
		During the review, was there evidence of any		
		unreported incidents that should have been reported		
		as either an Unusual Incident or a Major Unusual		
MUI/UI-ICF	8.012	Incident?	No	11/8/2016 9:30
			-	
			-	
		During the review, was there evidence of any		
		unreported incidents that should have been reported		
		as either an Unusual Incident or a Major Unusual		
MUI/UI-ICF	8.012	Incident?	No	11/9/2016 9:30
	,	During the review, was there evidence of any		
		unreported incidents that should have been reported		
	,	as either an Unusual Incident or a Major Unusual		
MUI/UI-ICF	8.012	Incident?	No	12/28/2016 9:00
<u> </u>		Is there evidence that the Incident Report contains the		
		following required elements?		
		•Individual's name;		
		•Individual's address;		
		•Date of incident;		
·		•Location of incident;		
		•Description of incident;		
MUI/UI-ICF	8.016	•Type and location of injuries;	No	10/18/2016 9:00
IVIOI/ OF-ICE	10.010	1- Type and location of injuries,	1,40	10, 10, 2010 3.00

	1	To the state of th	Т	T
		Is there evidence that the Incident Report contains the		
		following required elements?		
		•Individual's name;		
-		•Individual's address;		
		Date of incident;		8
		Location of incident;		
		Description of incident;	-	
MUI/UI-ICF	8.016	<ul><li>Type and location of injuries;</li></ul>	No	10/13/2016 9:00
		Upon identification of an unusual incident, is there		
		evidence that the ICF-IDD took the following		
	1	immediate actions as appropriate:		
		<ul> <li>Report was made to the designated person</li> </ul>		
		•The UI report was made within 24 hours of the		
		incident		
		•Investigate unusual incidents, identify the cause and		
MUI/UI-ICF	8.019	contributing factors when applicable, and develop	No	11/8/2016 9:30
		Upon identification of an unusual incident, is there		
		evidence that the ICF-IDD took the following		
		immediate actions as appropriate:		
		•Report was made to the designated person		
		•The UI report was made within 24 hours of the		
		incident		
		•Investigate unusual incidents, identify the cause and		
MUI/UI-ICF	8.019	contributing factors when applicable, and develop	No	10/13/2016 9:00
101,01101	0.013	Upon identification of an unusual incident, is there		10/13/2010 3.00
		evidence that the ICF-IDD took the following		
		immediate actions as appropriate:		
		•Report was made to the designated person	-	
		•The UI report was made within 24 hours of the		
		incident		
. *		•Investigate unusual incidents, identify the cause and		
MUI/UI-ICF	8.019	contributing factors when applicable, and develop	No	   11/17/2016 9:30
IVIOI/OI-ICF	0.019	Did the ICF/IID maintain a log of unusual incidents	INO	11/1//2016 9.30
		which includes:		
		Name of Individual		
		Description of Incident		
		•Identification of Injuries		
		•Time/Date of Incident		
		•Location of Incident		
MUI/UI-ICF	8.020	Preventative Measures	No	12/21/2016 8:00

		·		·
		Did the ICF/IID maintain a log of unusual incidents		
		which includes:		
		Name of Individual		
		Description of Incident		
		•Identification of Injuries		
		•Time/Date of Incident		
		•Location of Incident		
MUI/UI-ICF	8.020	Preventative Measures	No	10/27/2016 9:30
·		Did the ICF/IID maintain a log of unusual incidents		
		which includes:	-	
		Name of Individual	•	
		Description of Incident		
		•Identification of Injuries		
		•Time/Date of Incident		
		•Location of Incident		
MUI/UI-ICF	8.020	Preventative Measures	No	11/14/2016 9:00
	-			
		Is there evidence that the ICF-IDD completed an		
MUI/UI-ICF	8.022	Incident Report for all unusual incidents?	No	10/27/2016 9:30
IVIOI/OI-ICI	0.022	incluent Report for an unusual incluents:	140	10/27/2010 5.50
-				
D		Described ICE IID and a second described for decrease and		
Personal	0.004	Does the ICF-IID ensure that individual funds are made		14 /45 /2046 0.00
Funds-ICF	9.001	available to the individual as required?	No	11/15/2016 8:00
	V			
Personal		Does the ICF-IID ensure that individual funds are made		
Funds-ICF	9.001	available to the individual as required?	No	12/12/2016 10:00

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				1.
Danasal		Did someone other than the staff who handle personal		
Personal	0.000	funds, complete reconciliations at the frequencies		10/20/2016 0.20
Funds-ICF	9.002	required?	No	10/20/2016 9:30
		Does the ICF-IID ensure that the account transaction		
		records/ledgers include the following;		
		•The name of the individual		
		•The source, amount, and date of all funds received	1.	
		•The purpose, amount, recipient, and date of all funds		
<u>.</u>		withdrawn		
Personal		•Individual or staff signatures for withdrawals and		
Funds-ICF	9.003	deposits	No	10/12/2016 9:30
		Does the ICF-IID ensure that the account transaction		
		records/ledgers include the following;		
		•The name of the individual		
		•The source, amount, and date of all funds received		
		•The purpose, amount, recipient, and date of all funds		
		withdrawn		
Personal		•Individual or staff signatures for withdrawals and		
Funds-ICF	9.003	deposits	No	10/18/2016 9:00
		Does the ICF-IID ensure that the account transaction		
		records/ledgers include the following;		
		•The name of the individual		
		•The source, amount, and date of all funds received		
		•The purpose, amount, recipient, and date of all funds		
N		withdrawn		
Personal		•Individual or staff signatures for withdrawals and		
Funds-ICF	9.003	deposits	.No	10/20/2016 9:30
		Does the ICF-IID ensure that the account transaction		
	•	records/ledgers include the following;	*	
		•The name of the individual		
1 - 4		•The source, amount, and date of all funds received		
		•The purpose, amount, recipient, and date of all funds		
		withdrawn	1 .	
Personal		•Individual or staff signatures for withdrawals and		
Funds-ICF		deposits		11/15/2016 8:00

		Does the ICF-IID ensure that the account transaction		
		records/ledgers include the following;		
		•The name of the individual		
		•The source, amount, and date of all funds received		
		•The purpose, amount, recipient, and date of all funds		
		withdrawn		
Personal		•Individual or staff signatures for withdrawals and		
Funds-ICF	9.003	deposits	No	12/28/2016 9:00
		Does the ICF-IID ensure that the account transaction		
		records/ledgers include the following;		
		•The name of the individual		
		The source, amount, and date of all funds received		
		•The purpose, amount, recipient, and date of all funds		
		withdrawn		
Personal		<ul> <li>Individual or staff signatures for withdrawals and</li> </ul>		
Funds-ICF	9.003	deposits	No	10/5/2016 9:00
T dilus ici	3.003	Does the ICF-IID ensure that the account transaction	NO	10/3/2010 3.00
-		records/ledgers include the following;		
		•The name of the individual		
		•The source, amount, and date of all funds received		•
		•The purpose, amount, recipient, and date of all funds		
		withdrawn		
Personal		•Individual or staff signatures for withdrawals and		10/5/00100
Funds-ICF	9.003	deposits	No	10/5/2016 9:00
		Does the ICF-IID ensure that the account transaction		
		records/ledgers include the following;		· ·
		•The name of the individual		
		•The source, amount, and date of all funds received		
		•The purpose, amount, recipient, and date of all funds		
		withdrawn		
Personal		•Individual or staff signatures for withdrawals and		
Funds-ICF	9.003	deposits	No	10/5/2016 9:00
				1
Personal		Is there evidence that the individual is able to purchase		•
Funds-ICF	9.004	items, goods, and services of his/her preference?	No	10/18/2016 9:00
<u> </u>	<u> </u>			

				·
			-	
Personal		Is there evidence that the individual is able to purchase	v	
Funds-ICF	9.004	items, goods, and services of his/her preference?	No	12/28/2016 9:00

## 10/1/16-12/31/16 Immediate Citations

No		168
Yes		6