

10/1/16-12/31/16
Citations by Standard

Row Labels	Count of Citation
Administration & Operation-ICF	2
Behavior Support-ICF	59
Medication Administration-ICF	4
MUI/UI-ICF	22
Personal Funds-ICF	13
Person-Centered Planning-ICF	18
Personnel-ICF	26
Physical Environment-ICF	11
Provision of Services/IP Implementation-ICF	19
Grand Total	174

ICF Licensure Citations 10/1/16-12/31/16

Q Sub Section (Question) (Review Question)	Provider Review Question	Question	Imme diate Citati on	Review Date (Review) (Review)
Administratio n & Operation- ICF	1.002	Is the ICF following all applicable local, state, and federal rules and regulations?	No	10/12/2016 9:30
Administratio n & Operation- ICF	1.002	Is the ICF following all applicable local, state, and federal rules and regulations?	No	11/15/2016 8:00
Person- Centered Planning-ICF	1.003	Was the plan reviewed annually? 5123:2-3-17	No	10/27/2016 11:00

Personnel-ICF	2.001	Did the ICF/IID complete a valid initial BCII/FBI check for direct service employees?	No	10/18/2016 9:00
Personnel-ICF	2.001	Did the ICF/IID complete a valid initial BCII/FBI check for direct service employees?	No	11/16/2016 10:00
Personnel-ICF	2.001	Did the ICF/IID complete a valid initial BCII/FBI check for direct service employees?	No	11/9/2016 10:00
Medication Administration-ICF	2.001	If the individual(s) being served are unable to self-medicate, is the medication stored in a secure location? 5123:2-3-09	No	10/27/2016 11:00
Personnel-ICF	2.002	Did the ICF/IID complete a valid BCII/FBI check every 5 years for direct service employees?	No	12/28/2016 9:00

Personnel-ICF	2.002	Did the ICF/IID complete a valid BCII/FBI check every 5 years for direct service employees?	Yes	11/8/2016 8:30
Personnel-ICF	2.003	Are all ICF staff enrolled in RAPBACK within 30 days of his or her initial criminal records check by the bureau of criminal investigation	No	11/15/2016 8:00
Personnel-ICF	2.004	Did the ICF/IID complete the initial and 5 year BCII/FBI checks in a timely manner?	No	11/15/2016 8:00
Personnel-ICF	2.004	Did the ICF/IID complete the initial and 5 year BCII/FBI checks in a timely manner?	Yes	12/12/2016 10:00
Personnel-ICF	2.004	Did the ICF/IID complete the initial and 5 year BCII/FBI checks in a timely manner?	No	11/9/2016 10:00

Personnel-ICF	2.004	Did the ICF/IID complete the initial and 5 year BCII/FBI checks in a timely manner?	No	11/8/2016 8:30
Personnel-ICF	2.005	<p>Did the ICF/IID complete the following initial database checks for employees?</p> <ul style="list-style-type: none"> •Inspector General's Exclusion List •Sex Offender and Child Victim Offenders Database •U.S. General Services Administration System for Award Management Database •Database of Incarcerated and Supervised Offenders 	No	10/12/2016 9:30
Personnel-ICF	2.006	<p>Did the ICF/IID complete the following database checks every five years for employees?</p> <ul style="list-style-type: none"> •Inspector General's Exclusion List •Sex Offender and Child Victim Offenders Database •U.S. General Services Administration System for Award Management Database •Database of Incarcerated and Supervised Offenders 	Yes	12/28/2016 9:00
Personnel-ICF	2.007	<p>Did the ICF/IID complete the initial and 5 year database checks in a timely manner?</p> <ul style="list-style-type: none"> •Inspector General's Exclusion List •Sex Offender and Child Victim Offenders Database •U.S. General Services Administration System for Award Management Database •Database of Incarcerated and Supervised Offenders 	No	11/9/2016 10:00
Personnel-ICF	2.007	<p>Did the ICF/IID complete the initial and 5 year database checks in a timely manner?</p> <ul style="list-style-type: none"> •Inspector General's Exclusion List •Sex Offender and Child Victim Offenders Database •U.S. General Services Administration System for Award Management Database •Database of Incarcerated and Supervised Offenders 	No	10/25/2016 10:00

Personnel-ICF	2.008	Did the ICF/IID ensure that direct services are only provided by employees who do not have a disqualifying offense and who are not included on any of the databases identified in rule?	Yes	10/20/2016 9:30
Personnel-ICF	2.008	Did the ICF/IID ensure that direct services are only provided by employees who do not have a disqualifying offense and who are not included on any of the databases identified in rule?	Yes	11/16/2016 10:00
Personnel-ICF	2.008	Did the ICF/IID ensure that direct services are only provided by employees who do not have a disqualifying offense and who are not included on any of the databases identified in rule?	Yes	11/17/2016 9:30
Personnel-ICF	2.019	For direct service staff, did the staff person receive annual training prior to providing services with individuals that included: (i) The role and responsibilities of direct services staff with regard to services including person-centered planning, community integration, self-determination, and self-advocacy; (ii) Initial rights training including the health and	No	12/14/2016 10:00
Personnel-ICF	2.020	For all direct service staff, did the staff person, prior to implementation, receive training on the individual's IP/BSP?	No	11/7/2016 10:00

Personnel-ICF	2.020	For all direct service staff, did the staff person, prior to implementation, receive training on the individual's IP/BSP?	No	10/12/2016 9:30
Personnel-ICF	2.020	For all direct service staff, did the staff person, prior to implementation, receive training on the individual's IP/BSP?	No	12/21/2016 8:00
Personnel-ICF	2.020	For all direct service staff, did the staff person, prior to implementation, receive training on the individual's IP/BSP?	No	11/9/2016 9:30
Personnel-ICF	2.020	For all direct service staff, did the staff person, prior to implementation, receive training on the individual's IP/BSP?	No	12/14/2016 10:00
Personnel-ICF	2.021	For all direct service staff, did the staff person, have initial training on the actions to take in the event of a fire or other emergency?	No	11/14/2016 9:00

Personnel-ICF	2.024	Is staff available based on the assessed needs of the individuals?	No	10/25/2016 10:00
Personnel-ICF	2.025	Does the ICF staff, who provide direct services, have a high school diploma or GED?	No	12/12/2016 10:00
Physical Environment-ICF	3.001	Has the ICF-IID completed emergency drills (tornado and fire) and completed a written record of each drill which addresses individual specific needs based on the outcome of these drills?	No	12/14/2016 10:00
Physical Environment-ICF	3.002	Did the ICF ensure that each individual participated in initial fire safety and emergency response training?	No	10/12/2016 9:30
Physical Environment-ICF	3.003	Did the ICF ensure that each individual participated in annual fire safety and emergency response training?	No	10/12/2016 9:30

Physical Environment-ICF	3.003	Did the ICF ensure that each individual participated in annual fire safety and emergency response training?	No	12/28/2016 9:00
Physical Environment-ICF	3.004	Are the interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner?	No	10/12/2016 9:30
Physical Environment-ICF	3.004	Are the interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner?	No	11/1/2016 9:00
Physical Environment-ICF	3.004	Are the interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner?	No	10/5/2016 9:30
Physical Environment-ICF	3.004	Are the interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner?	No	11/9/2016 9:30

Physical Environment-ICF	3.004	Are the interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner?	No	12/12/2016 10:00
Physical Environment-ICF	3.004	Are the interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner?	No	11/9/2016 10:00
Physical Environment-ICF	3.008	Was the individual able to independently get around his/her home and have unrestricted access to all areas of the home and grounds unless otherwise indicated in the IP?	No	10/12/2016 9:30
Behavior Support-ICF	3.01	Does the provider/county board have a human rights committee that includes the following? <ul style="list-style-type: none"> • At least 4 people • At least 1 individual who receives or is eligible to receive specialized services • Qualified persons with training or experience in contemporary practices of Behavior Support • Reflect a balance of: 	No	10/27/2016 11:00
Person-Centered Planning-ICF	4.002	Using person centered planning, has the plan been developed based on the results of the Comprehensive Functional Assessment (CFA) as it relates to: <ul style="list-style-type: none"> • Ensure health and welfare, • Assist the individual to engage in meaningful activities • Support community connections • Assist in improving self-advocacy skills 	No	12/19/2016 10:30

Person-Centered Planning-ICF	4.002	<p>Using person centered planning, has the plan been developed based on the results of the Comprehensive Functional Assessment (CFA) as it relates to:</p> <ul style="list-style-type: none"> •Ensure health and welfare, •Assist the individual to engage in meaningful activities •Support community connections •Assist in improving self-advocacy skills 	No	11/8/2016 9:30
Person-Centered Planning-ICF	4.003	<p>Does the assessment/planning process consider;</p> <ul style="list-style-type: none"> •What is important to the individual •What is important for the individual •Known and likely risk •Place on the path to employment •Desired community employment outcome •What is working and not working 	No	11/1/2016 9:00
Person-Centered Planning-ICF	4.003	<p>Does the assessment/planning process consider;</p> <ul style="list-style-type: none"> •What is important to the individual •What is important for the individual •Known and likely risk •Place on the path to employment •Desired community employment outcome •What is working and not working 	No	10/5/2016 9:00
Person-Centered Planning-ICF	4.005	<p>Was there evidence that the QPID provided the individual with information about the services and supports available in the ICF and the services and supports available to individual enrolled on an HCBS Waiver?</p>	No	10/12/2016 9:30
Person-Centered Planning-ICF	4.005	<p>Was there evidence that the QPID provided the individual with information about the services and supports available in the ICF and the services and supports available to individual enrolled on an HCBS Waiver?</p>	No	12/28/2016 9:00

Person-Centered Planning-ICF	4.006	Did the individual or guardian give consent to the IP prior to implementation?	No	10/25/2016 9:30
Person-Centered Planning-ICF	4.006	Did the individual or guardian give consent to the IP prior to implementation?	No	12/21/2016 8:00
Person-Centered Planning-ICF	4.006	Did the individual or guardian give consent to the IP prior to implementation?	No	10/5/2016 9:00
Person-Centered Planning-ICF	4.006	Did the individual or guardian give consent to the IP prior to implementation?	No	11/14/2016 9:00
Person-Centered Planning-ICF	4.007	Was the plan reviewed annually?	No	10/12/2016 9:30

Person-Centered Planning-ICF	4.007	Was the plan reviewed annually?	No	12/21/2016 8:00
Person-Centered Planning-ICF	4.008	Was the IP revised based on the changes in the individuals needs/wants?	No	10/12/2016 9:30
Person-Centered Planning-ICF	4.008	Was the IP revised based on the changes in the individuals needs/wants?	No	12/14/2016 10:00
Person-Centered Planning-ICF	4.008	Was the IP revised based on the changes in the individuals needs/wants?	No	12/28/2016 9:00
Person-Centered Planning-ICF	4.009	Does the IP include a discharge plan that identifies; •The supports and services necessary for the individual's transition to an integrated community setting •Strategies for overcoming barriers to community integration	No	11/1/2016 9:00

Person-Centered Planning-ICF	4.009	Does the IP include a discharge plan that identifies; •The supports and services necessary for the individual's transition to an integrated community setting •Strategies for overcoming barriers to community integration	No	12/28/2016 9:00
Provision of Services/IP Implementation-ICF	5.001	Are medication, treatments and dietary orders being followed as indicated in the IP?	No	11/2/2016 16:00
Provision of Services/IP Implementation-ICF	5.001	Are medication, treatments and dietary orders being followed as indicated in the IP?	No	10/18/2016 9:00
Provision of Services/IP Implementation-ICF	5.001	Are medication, treatments and dietary orders being followed as indicated in the IP?	No	12/21/2016 8:00
Provision of Services/IP Implementation-ICF	5.001	Are medication, treatments and dietary orders being followed as indicated in the IP?	No	12/14/2016 10:00

Provision of Services/IP Implementati on-ICF	5.001	Are medication, treatments and dietary orders being followed as indicated in the IP?	No	10/25/2016 10:00
Provision of Services/IP Implementati on-ICF	5.001	Are medication, treatments and dietary orders being followed as indicated in the IP?	No	10/5/2016 9:00
Provision of Services/IP Implementati on-ICF	5.002	Is the IP being implemented as written?	No	10/12/2016 9:30
Provision of Services/IP Implementati on-ICF	5.002	Is the IP being implemented as written?	No	12/21/2016 8:00
Provision of Services/IP Implementati on-ICF	5.002	Is the IP being implemented as written?	No	12/14/2016 10:00

Provision of Services/IP Implementation-ICF	5.002	Is the IP being implemented as written?	No	10/25/2016 10:00
Provision of Services/IP Implementation-ICF	5.003	Is there evidence that the provider took action on an individual's need/want, when they were aware of it?	No	12/28/2016 9:00
Provision of Services/IP Implementation-ICF	5.006	Were individuals attending day programming at a building at least 200 feet from the ICF-IID?	No	10/3/2016 14:00
Provision of Services/IP Implementation-ICF	5.008	If the IP includes assistance with money management, are the individual's monies being managed as indicated in the plan?	No	10/12/2016 9:30
Provision of Services/IP Implementation-ICF	5.008	If the IP includes assistance with money management, are the individual's monies being managed as indicated in the plan?	No	10/5/2016 9:00

Provision of Services/IP Implementation-ICF	5.008	If the IP includes assistance with money management, are the individual's monies being managed as indicated in the plan?	No	10/5/2016 9:00
Provision of Services/IP Implementation-ICF	5.008	If the IP includes assistance with money management, are the individual's monies being managed as indicated in the plan?	No	10/5/2016 9:00
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Provision of Services/IP Implementation-ICF	5.008	If the IP includes assistance with money management, are the individual's monies being managed as indicated in the plan?	No	10/5/2016 9:00

Medication Administratio n-ICF	6.003	If the individual(s) being served are unable to self-medicate, was a self-medication assessment completed?	No	10/5/2016 10:00
Medication Administratio n-ICF	6.006	If delegated nursing is identified in the plan, is there a statement of delegation, evidence of on-going assessment, and annual skills checklist?	No	10/6/2016 10:00
Medication Administratio n-ICF	6.006	If delegated nursing is identified in the plan, is there a statement of delegation, evidence of on-going assessment, and annual skills checklist?	No	10/5/2016 10:00
Behavior Support-ICF	7.002	If the plan has behavioral strategies that include restrictive measures, is there evidence that the strategy was reviewed by the individual and the team at least every 90 days to determine the effectiveness of the strategy?	No	10/18/2016 9:00
Behavior Support-ICF	7.002	If the plan has behavioral strategies that include restrictive measures, is there evidence that the strategy was reviewed by the individual and the team at least every 90 days to determine the effectiveness of the strategy?	No	11/9/2016 9:30

Behavior Support-ICF	7.002	If the plan has behavioral strategies that include restrictive measures, is there evidence that the strategy was reviewed by the individual and the team at least every 90 days to determine the effectiveness of the strategy?	No	12/14/2016 10:00
Behavior Support-ICF	7.002	If the plan has behavioral strategies that include restrictive measures, is there evidence that the strategy was reviewed by the individual and the team at least every 90 days to determine the effectiveness of the strategy?	No	10/5/2016 9:00
Behavior Support-ICF	7.002	If the plan has behavioral strategies that include restrictive measures, is there evidence that the strategy was reviewed by the individual and the team at least every 90 days to determine the effectiveness of the strategy?	No	10/5/2016 9:00
Behavior Support-ICF	7.002	If the plan has behavioral strategies that include restrictive measures, is there evidence that the strategy was reviewed by the individual and the team at least every 90 days to determine the effectiveness of the strategy?	No	10/5/2016 9:00
Behavior Support-ICF	7.002	If the plan has behavioral strategies that include restrictive measures, is there evidence that the strategy was reviewed by the individual and the team at least every 90 days to determine the effectiveness of the strategy?	No	10/5/2016 9:00

Behavior Support-ICF	7.003	If the plan includes restrictive measures, is there evidence of an assessment within the past twelve months that clearly describes risk of harm or likelihood of legal sanction?	No	11/21/2016 8:10
Behavior Support-ICF	7.003	If the plan includes restrictive measures, is there evidence of an assessment within the past twelve months that clearly describes risk of harm or likelihood of legal sanction?	No	10/13/2016 9:00
Behavior Support-ICF	7.003	If the plan includes restrictive measures, is there evidence of an assessment within the past twelve months that clearly describes risk of harm or likelihood of legal sanction?	No	10/5/2016 9:00
Behavior Support-ICF	7.003	If the plan includes restrictive measures, is there evidence of an assessment within the past twelve months that clearly describes risk of harm or likelihood of legal sanction?	No	10/5/2016 9:00
Behavior Support-ICF	7.004	Were all restrictive measures addressed in the plan and approved by the Human Rights Committee?	No	10/12/2016 9:30

Behavior Support-ICF	7.004	Were all restrictive measures addressed in the plan and approved by the Human Rights Committee?	No	10/5/2016 9:30
Behavior Support-ICF	7.004	Were all restrictive measures addressed in the plan and approved by the Human Rights Committee?	No	11/15/2016 8:00
Behavior Support-ICF	7.004	Were all restrictive measures addressed in the plan and approved by the Human Rights Committee?	No	11/8/2016 8:30
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	10/12/2016 9:30
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	11/1/2016 9:00

Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	11/21/2016 8:10
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	11/8/2016 9:30
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	12/14/2016 10:00
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	10/20/2016 10:00
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	10/5/2016 9:00

Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	10/5/2016 9:00
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	10/5/2016 9:00
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	11/14/2016 9:00
Behavior Support-ICF	7.006	Are restrictive strategies person-centered and interwoven into a single plan?	No	11/9/2016 10:00
Behavior Support-ICF	7.007	Is there evidence that informed consent was received from the individual or guardian prior to the restrictive strategies being submitted to the HRC for approval?	No	10/12/2016 9:30

Behavior Support-ICF	7.007	Is there evidence that informed consent was received from the individual or guardian prior to the restrictive strategies being submitted to the HRC for approval?	No	10/5/2016 9:30
Behavior Support-ICF	7.007	Is there evidence that informed consent was received from the individual or guardian prior to the restrictive strategies being submitted to the HRC for approval?	No	11/9/2016 9:30
Behavior Support-ICF	7.007	Is there evidence that informed consent was received from the individual or guardian prior to the restrictive strategies being submitted to the HRC for approval?	No	12/14/2016 10:00
Behavior Support-ICF	7.007	Is there evidence that informed consent was received from the individual or guardian prior to the restrictive strategies being submitted to the HRC for approval?	No	11/15/2016 8:00
Behavior Support-ICF	7.007	Is there evidence that informed consent was received from the individual or guardian prior to the restrictive strategies being submitted to the HRC for approval?	No	10/5/2016 9:00

Behavior Support-ICF	7.007	Is there evidence that informed consent was received from the individual or guardian prior to the restrictive strategies being submitted to the HRC for approval?	No	10/5/2016 9:00
Behavior Support-ICF	7.008	Is the behavior support strategy directed at: 1. Mitigating risk of harm or legal sanction 2. Reducing and eliminating need for restrictive measures 3. Ensuring the environment includes preferred activities so individuals are less likely to engage in unsafe actions due to behavior	No	11/7/2016 10:00
Behavior Support-ICF	7.008	Is the behavior support strategy directed at: 1. Mitigating risk of harm or legal sanction 2. Reducing and eliminating need for restrictive measures 3. Ensuring the environment includes preferred activities so individuals are less likely to engage in unsafe actions due to behavior	No	11/8/2016 9:30
Behavior Support-ICF	7.008	Is the behavior support strategy directed at: 1. Mitigating risk of harm or legal sanction 2. Reducing and eliminating need for restrictive measures 3. Ensuring the environment includes preferred activities so individuals are less likely to engage in unsafe actions due to behavior	No	10/12/2016 9:30
Behavior Support-ICF	7.009	Is there a provider record of the date, time, duration, and antecedent factors regarding each use of a restrictive measure other than a restrictive measure that is not based on antecedent factors (e.g. Bed alarm or locked cabinet)?	No	11/15/2016 8:00

Behavior Support-ICF	7.010	<p>Does the provider/county board have a human rights committee that includes the following?</p> <ul style="list-style-type: none"> • At least 4 people • At least 1 individual who receives or is eligible to receive specialized services • Qualified persons with training or experience in contemporary practices of Behavior Support • Reflect a balance of: 	No	10/18/2016 9:00
Behavior Support-ICF	7.010	<p>Does the provider/county board have a human rights committee that includes the following?</p> <ul style="list-style-type: none"> • At least 4 people • At least 1 individual who receives or is eligible to receive specialized services • Qualified persons with training or experience in contemporary practices of Behavior Support • Reflect a balance of: 	No	11/21/2016 8:10
Behavior Support-ICF	7.010	<p>Does the provider/county board have a human rights committee that includes the following?</p> <ul style="list-style-type: none"> • At least 4 people • At least 1 individual who receives or is eligible to receive specialized services • Qualified persons with training or experience in contemporary practices of Behavior Support • Reflect a balance of: 	No	12/19/2016 10:30
Behavior Support-ICF	7.010	<p>Does the provider/county board have a human rights committee that includes the following?</p> <ul style="list-style-type: none"> • At least 4 people • At least 1 individual who receives or is eligible to receive specialized services • Qualified persons with training or experience in contemporary practices of Behavior Support • Reflect a balance of: 	No	10/20/2016 10:00
Behavior Support-ICF	7.010	<p>Does the provider/county board have a human rights committee that includes the following?</p> <ul style="list-style-type: none"> • At least 4 people • At least 1 individual who receives or is eligible to receive specialized services • Qualified persons with training or experience in contemporary practices of Behavior Support • Reflect a balance of: 	No	10/5/2016 9:00

Behavior Support-ICF	7.011	Did all members of the Human Rights Committee receive department approved training within three months of appointment to the committee in: rights of individuals with disabilities, person-centered planning, informed consent, confidentiality, and the requirements of 5123:2-2-06?	No	10/12/2016 9:30
Behavior Support-ICF	7.011	Did all members of the Human Rights Committee receive department approved training within three months of appointment to the committee in: rights of individuals with disabilities, person-centered planning, informed consent, confidentiality, and the requirements of 5123:2-2-06?	No	10/20/2016 9:30
Behavior Support-ICF	7.011	Did all members of the Human Rights Committee receive department approved training within three months of appointment to the committee in: rights of individuals with disabilities, person-centered planning, informed consent, confidentiality, and the requirements of 5123:2-2-06?	No	12/19/2016 10:30
Behavior Support-ICF	7.011	Did all members of the Human Rights Committee receive department approved training within three months of appointment to the committee in: rights of individuals with disabilities, person-centered planning, informed consent, confidentiality, and the requirements of 5123:2-2-06?	No	10/20/2016 9:30
Behavior Support-ICF	7.011	Did all members of the Human Rights Committee receive department approved training within three months of appointment to the committee in: rights of individuals with disabilities, person-centered planning, informed consent, confidentiality, and the requirements of 5123:2-2-06?	No	12/14/2016 10:00

Behavior Support-ICF	7.011	Did all members of the Human Rights Committee receive department approved training within three months of appointment to the committee in: rights of individuals with disabilities, person-centered planning, informed consent, confidentiality, and the requirements of 5123:2-2-06?	No	11/8/2016 8:30
Behavior Support-ICF	7.012	If the service plan includes restrictive measures, did the Human Rights Committee review and approve the plan prior to implementation?	No	10/12/2016 9:30
Behavior Support-ICF	7.012	If the service plan includes restrictive measures, did the Human Rights Committee review and approve the plan prior to implementation?	No	10/5/2016 9:30
Behavior Support-ICF	7.012	If the service plan includes restrictive measures, did the Human Rights Committee review and approve the plan prior to implementation?	No	12/14/2016 10:00
Behavior Support-ICF	7.012	If the service plan includes restrictive measures, did the Human Rights Committee review and approve the plan prior to implementation?	No	11/14/2016 9:00

Behavior Support-ICF	7.013	If the service plan includes time out or restraint, are the interventions being implemented only when risk of harm is evidenced?	No	10/18/2016 9:00
Behavior Support-ICF	7.014	If the service plan includes rights restrictions, are the interventions being implemented only when risk of harm or likelihood of legal sanction are evidenced?	No	10/18/2016 9:00
Behavior Support-ICF	7.015	If the plan includes a restrictive measure, is there evidence that the Restrictive Measure Notification was submitted prior to implementation?	No	10/18/2016 9:00
Behavior Support-ICF	7.015	If the plan includes a restrictive measure, is there evidence that the Restrictive Measure Notification was submitted prior to implementation?	No	10/5/2016 10:00
Behavior Support-ICF	7.015	If the plan includes a restrictive measure, is there evidence that the Restrictive Measure Notification was submitted prior to implementation?	No	11/21/2016 8:10

Behavior Support-ICF	7.015	If the plan includes a restrictive measure, is there evidence that the Restrictive Measure Notification was submitted prior to implementation?	No	12/14/2016 10:00
MUI/UI-ICF	8.001	Upon identification of a MUI, is there evidence that the ICF-IID took the following immediate actions as appropriate: <ul style="list-style-type: none"> • Immediate and on-going medical attention • Removal of an employee from direct contact with any at-risk individual when the employee is alleged to have been involved in abuse or neglect until such time as the ICF-IID/DD has reasonably determined that such 	No	11/9/2016 10:00
MUI/UI-ICF	8.002	Is there evidence that the ICF-IID notified the county board about the below listed incidents within 4 hours of discovery? <ul style="list-style-type: none"> • Abuse (physical, sexual and verbal) • Exploitation • Misappropriation • Neglect • Suspicious/Accidental Death 	No	11/1/2016 9:00
MUI/UI-ICF	8.002	Is there evidence that the ICF-IID notified the county board about the below listed incidents within 4 hours of discovery? <ul style="list-style-type: none"> • Abuse (physical, sexual and verbal) • Exploitation • Misappropriation • Neglect • Suspicious/Accidental Death 	No	10/20/2016 9:30
MUI/UI-ICF	8.003	Is there evidence that the ICF-IID submitted a written incident report to the county board contact or designee no later than three p.m. the next working day following initial knowledge of a potential or determined major unusual incident?	No	11/9/2016 10:00

MUI/UI-ICF	8.006	Is there evidence the ICF-IID cooperated with the investigation of MUIs? Timely submission of requested information	No	10/12/2016 9:30
MUI/UI-ICF	8.007	Is there evidence that a prevention plan was identified, that the prevention plan addressed the causes and contributing factors identified in the investigation and that the individual's IP was revised if necessary?	No	12/28/2016 9:00
MUI/UI-ICF	8.009	Did the ICF-IID conduct a monthly review of unusual incidents?	No	10/12/2016 9:30
MUI/UI-ICF	8.009	Did the ICF-IID conduct a monthly review of unusual incidents?	No	12/14/2016 10:00
MUI/UI-ICF	8.011	Is there evidence that the Pattern and Trends Analysis report contains the following required elements: <ul style="list-style-type: none"> •Date of review; •Name of person completing review; •Time period of review; •Comparison of data for previous three years; •Explanation of data; •Data for review by major unusual incident category 	No	10/12/2016 9:30

MUI/UI-ICF	8.011	<p>Is there evidence that the Pattern and Trends Analysis report contains the following required elements:</p> <ul style="list-style-type: none"> •Date of review; •Name of person completing review; •Time period of review; •Comparison of data for previous three years; •Explanation of data; •Data for review by major unusual incident category 	No	10/25/2016 10:00
MUI/UI-ICF	8.012	<p>During the review, was there evidence of any unreported incidents that should have been reported as either an Unusual Incident or a Major Unusual Incident?</p>	No	11/8/2016 9:30
MUI/UI-ICF	8.012	<p>During the review, was there evidence of any unreported incidents that should have been reported as either an Unusual Incident or a Major Unusual Incident?</p>	No	11/9/2016 9:30
MUI/UI-ICF	8.012	<p>During the review, was there evidence of any unreported incidents that should have been reported as either an Unusual Incident or a Major Unusual Incident?</p>	No	12/28/2016 9:00
MUI/UI-ICF	8.016	<p>Is there evidence that the Incident Report contains the following required elements?</p> <ul style="list-style-type: none"> •Individual's name; •Individual's address; •Date of incident; •Location of incident; •Description of incident; •Type and location of injuries; 	No	10/18/2016 9:00

MUI/UI-ICF	8.016	<p>Is there evidence that the Incident Report contains the following required elements?</p> <ul style="list-style-type: none"> •Individual's name; •Individual's address; •Date of incident; •Location of incident; •Description of incident; •Type and location of injuries; 	No	10/13/2016 9:00
MUI/UI-ICF	8.019	<p>Upon identification of an unusual incident, is there evidence that the ICF-IDD took the following immediate actions as appropriate:</p> <ul style="list-style-type: none"> •Report was made to the designated person •The UI report was made within 24 hours of the incident •Investigate unusual incidents, identify the cause and contributing factors when applicable, and develop 	No	11/8/2016 9:30
MUI/UI-ICF	8.019	<p>Upon identification of an unusual incident, is there evidence that the ICF-IDD took the following immediate actions as appropriate:</p> <ul style="list-style-type: none"> •Report was made to the designated person •The UI report was made within 24 hours of the incident •Investigate unusual incidents, identify the cause and contributing factors when applicable, and develop 	No	10/13/2016 9:00
MUI/UI-ICF	8.019	<p>Upon identification of an unusual incident, is there evidence that the ICF-IDD took the following immediate actions as appropriate:</p> <ul style="list-style-type: none"> •Report was made to the designated person •The UI report was made within 24 hours of the incident •Investigate unusual incidents, identify the cause and contributing factors when applicable, and develop 	No	11/17/2016 9:30
MUI/UI-ICF	8.020	<p>Did the ICF/IDD maintain a log of unusual incidents which includes:</p> <ul style="list-style-type: none"> •Name of Individual •Description of Incident •Identification of Injuries •Time/Date of Incident •Location of Incident •Preventative Measures 	No	12/21/2016 8:00

MUI/UI-ICF	8.020	<p>Did the ICF/IID maintain a log of unusual incidents which includes:</p> <ul style="list-style-type: none"> •Name of Individual •Description of Incident •Identification of Injuries •Time/Date of Incident •Location of Incident •Preventative Measures 	No	10/27/2016 9:30
MUI/UI-ICF	8.020	<p>Did the ICF/IID maintain a log of unusual incidents which includes:</p> <ul style="list-style-type: none"> •Name of Individual •Description of Incident •Identification of Injuries •Time/Date of Incident •Location of Incident •Preventative Measures 	No	11/14/2016 9:00
MUI/UI-ICF	8.022	<p>Is there evidence that the ICF-IID completed an Incident Report for all unusual incidents?</p>	No	10/27/2016 9:30
Personal Funds-ICF	9.001	<p>Does the ICF-IID ensure that individual funds are made available to the individual as required?</p>	No	11/15/2016 8:00
Personal Funds-ICF	9.001	<p>Does the ICF-IID ensure that individual funds are made available to the individual as required?</p>	No	12/12/2016 10:00

Personal Funds-ICF	9.002	Did someone other than the staff who handle personal funds, complete reconciliations at the frequencies required?	No	10/20/2016 9:30
Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	10/12/2016 9:30
Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	10/18/2016 9:00
Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	10/20/2016 9:30
Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	11/15/2016 8:00

Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	12/28/2016 9:00
Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	10/5/2016 9:00
Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	10/5/2016 9:00
Personal Funds-ICF	9.003	Does the ICF-IID ensure that the account transaction records/ledgers include the following; •The name of the individual •The source, amount, and date of all funds received •The purpose, amount, recipient, and date of all funds withdrawn •Individual or staff signatures for withdrawals and deposits	No	10/5/2016 9:00
Personal Funds-ICF	9.004	Is there evidence that the individual is able to purchase items, goods, and services of his/her preference?	No	10/18/2016 9:00

Personal Funds-ICF	9.004	Is there evidence that the individual is able to purchase items, goods, and services of his/her preference?	No	12/28/2016 9:00
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10/1/16-12/31/16

Immediate Citations

Row Labels	Count of Citation
No	168
Yes	6
Grand Total	174