

# Preadmission Screening and Resident Review (PASRR) Overview

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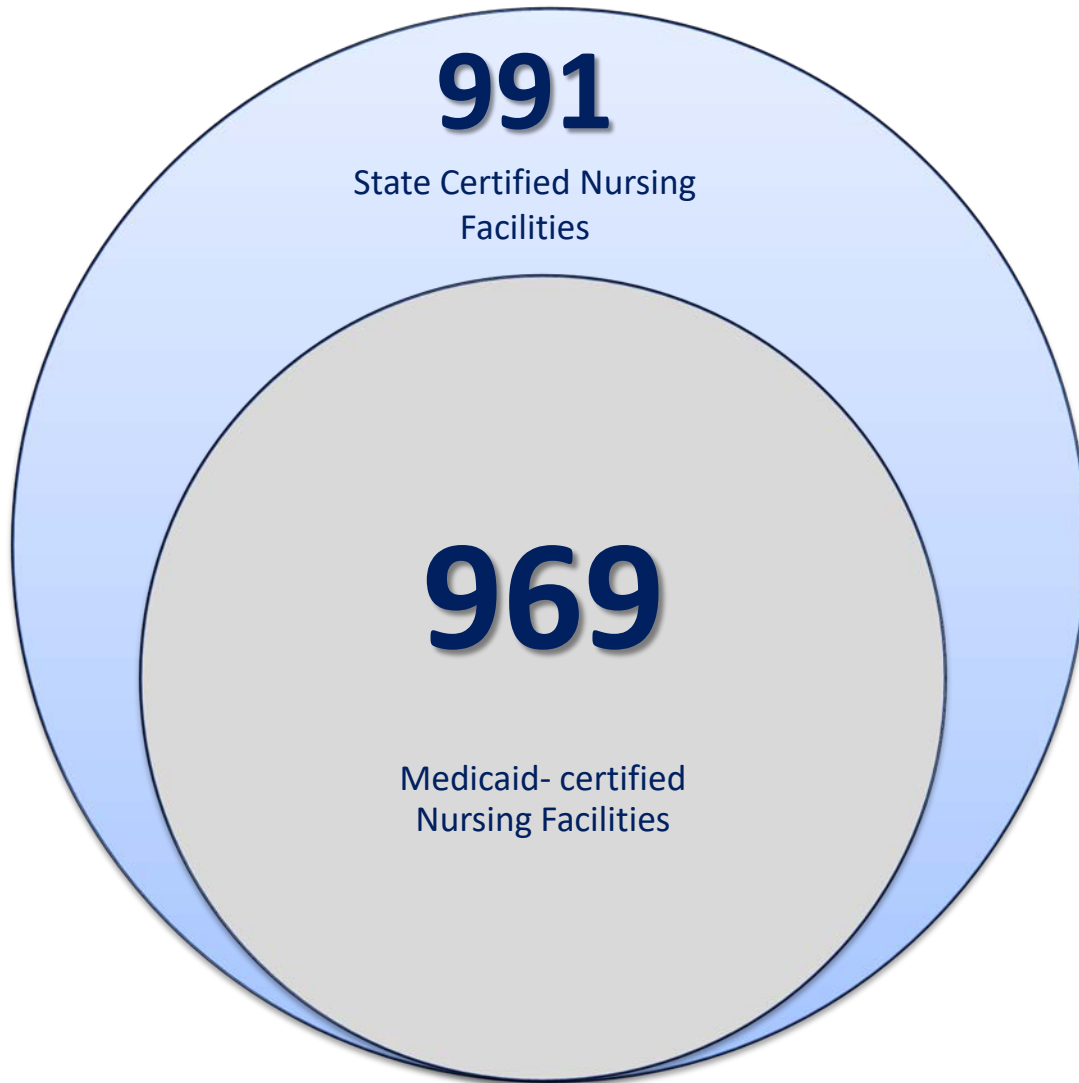
Bureau of Long-Term Services and Support

# What is PASRR?

- PASRR is a federally mandated process Medicaid agencies must oversee and administer in conjunction with the state Developmental Disability authority and the state Mental Health authority
- Established as part of the deinstitutionalization process, PASRR works to ensure that individuals with a serious mental illness (SMI) and/or developmental disability (DD) are not inappropriately institutionalized or marginalized
- PASRR regulations require that all individual's seeking admission to a Medicaid-certified nursing facility (NF), regardless of payer source, be assessed for indications of SMI and/or a DD

# PASRR Goals

- Created through language in the Omnibus Budget Reconciliation Act of 1987 (OBRA)
- The intent of the PASRR provisions in OBRA is to optimize an individual's placement success, treatment success, and the individual's quality of life
- Ensure that individuals seeking admission to a Medicaid-certified NF and as well as residents with a serious mental illness (SMI) and/or developmental disability (DD) are:
  - » Identified
  - » Appropriately placed in the least restrictive setting
  - » Admitted or allowed to remain in a NF only if they can be appropriately and adequately served in that setting



98% of Ohio nursing facilities are Medicaid-certified and subject to PASRR requirements



# PASRR Statutes and Regulations



## ■ Federal Statutes

- §1919(e)(7) of the Social Security Act
- 42 CFR §483.100 - §483.138

## ■ State Regulations

- OAC 5160-3-15 Preadmission screening and resident review definitions
- OAC 5160-3-15.1 Preadmission screening requirements
- OAC 5160-3-15.2 Resident review requirements
- OAC 5123:2-14-01 Developmental disabilities
- OAC 5122-21-03 Serious mental illness

# PASRR State Primary Roles

**Ohio**  
Department of Medicaid



**Ultimate oversight of the PASRR process**

**Ohio** | Department of  
Aging



**Manages Level I Preadmission Screen (PAS) and issues level of care (LOC) determinations**

**Ohio** MHAS  
Promoting wellness and recovery  
MENTAL HEALTH & ADDICTION SERVICES



**Responsible for Level II evaluations for Serious Mental Illness (SMI)**

**Ohio** | Department of  
Developmental Disabilities



**Responsible for Level II evaluations for Developmental Disability (DD) and Related Condition (RC)**

# PASRR Secondary Roles



**Completes in-person Level II  
assessment for OhioMHAS**



**Completes in-person Level II  
assessments for DODD and arranges  
for supports/services**



**Regulates Ohio-licensed nursing  
facilities**



**Advocates for admission of individuals  
to the most integrated setting**

# The Structure of



PASRR

# PASRR Components

- Level I (Broad Screening)
  - Refers to the screening used to identify whether a person has or is suspected of having a SMI and/or DD
  - Also known as the preadmission screening (PAS) when screening is conducted prior to nursing facility (NF) admission
  
- Level II (Comprehensive evaluation & determination)
  - Confirms or disconfirms a PASRR condition
  - Is the function of evaluating and determining whether NF services and specialized services are needed

## Follow - up assessments

- Resident Review
- Conducted upon significant change in condition

# Level I Screening

- Broad screening designed to identify the suspicion or diagnosis of serious mental illness (SMI) and/or developmental disability (DD)
- Usually administered by:
  - Hospital Discharge Planners or
  - NF social workers
- The Level I screen should yield a positive result if there is evidence or a suspicion that the individual might have an SMI and/or DD
- Applies to every admission to every Medicaid certified NF
- Must be completed before the individual can be admitted to the NF

# Level I Results

- Level I results determine whether an individual is subject to a level II evaluation
- Individuals with:
  - » **No** indications of SMI and/or DD are not subject to further review
  - » Indications of **SMI** are subject to further review by OhioMHAS
  - » Indications of **DD** are subject to further review by DODD
  - » Indications of **SMI and DD** are subject to further review by **both** OhioMHAS and DODD
  - » Individuals over the age of 22 who have previously been ruled out by DODD are not subject to further review

# PASRR Categorical Determinations

Determination which may be made for an individual with SMI and/or DD without a full Level II - PAS when there is sufficient documentation that the individual meets the criteria below:

## Emergency Nursing Facility Stay

An individual being admitted to a nursing facility pending further assessment **for a period not to exceed seven (7) days** when the admission is necessary to avoid serious risk to the individual of immediate harm or death

## Respite Nursing Facility Stay

Admission of an individual to a nursing facility **for a maximum of fourteen (14) days** in order to provide respite to in-home caregivers to whom the individual is expected to return following the brief respite stay

# Hospital Exemption

Hospital Exemption from Preadmission Screening Notification) must be submitted via HENS for the individual



# Level II Evaluations

## Level II for Serious Mental Illness

Process by which OhioMHAS determines whether the individual who has a serious mental illness requires the level of services provided by a nursing facility or another type of setting, and whether they require specialized services.



*Subcontractor completes the in-person level II evaluation for OhioMHAS and then forwards the results on to the MHAS for determination*

## Level II for Developmental Disability

Process by which DoDD determines whether the individual who has a developmental disability requires level of services provided by a nursing facility or another type of setting, and whether they require specialized services.



*Subcontractor completes the in-person level II evaluation for DODD and then forwards the results to DODD for determination*

# Resident Review

- NFs are prohibited from retaining residents with SMI or DD unless a thorough evaluation indicates that such an admission is appropriate and adequate services can be provided
- A resident review is required when there is a significant change in condition to ensure that NF residents total needs are met
- Resident reviews are required to identify changes in LTSS needs; recommend community alternatives to continued stays in NFs; and coordinate transition planning back to the community

# Resident Review

- The NF is required to initiate a resident review for those individuals who are:
  - » Admitted under the Hospital Exemption criteria and **found to require more than 30 days of service in the facility**
  - » Transferring to a nursing facility and there are **no PASRR records available from the previous nursing facility**
  - » Transferring to a new facility or being readmitted to the same nursing facility after an intervening hospital stay for psychiatric treatment
  - » Experiencing a **significant change in condition**
  - » Admitted under a categorical determination (emergency or respite stay) and **is found to require a stay in the nursing facility longer than the specified time limit for that category**
  - » Received a level II or level II resident review determination for a specified period and **has since been found to require a stay in a nursing facility exceeding the period**

# Level II and Resident Review Determination Types

- OhioMHAS and DODD may approve a determination for an **unspecified** or **specified** period of time
  - » A specified period of time may not exceed 180 days
- If an individual was admitted for a specified time and requires a longer stay beyond the specified time period, a request for an extension beyond the original **specified** period of time may be requested.

# Timeframe for PASRR

- Level II preadmission assessments must be completed within an annual average of 7 to 9 working days of referral by the entity that performs the Level I identification screen
- PASRR is a recurrent **process** as long as the resident resides in a NF
  - » An individual who originally does not trip for SMI or DD must be reevaluated after a significant change in their condition
- The definitive end point for the PASRR process is when the individual is discharged from the NF

# PASRR Resources

- HENS User Guides:

<https://www.hens.age.ohio.gov/Tutorials.aspx>

- Nursing Facility Admissions: Most Common Scenarios for Preadmission Screening and Resident Review (PASRR) and Level of Care (LOC) Reference Sheet:

<http://medicaid.ohio.gov/Portals/0/Providers/ProviderTypes/LongTermCare/IICF/MostCommonScenarios.pdf>

- PASRR review 101 Presentation:

<http://medicaid.ohio.gov/Portals/0/Providers/ProviderTypes/LongTermCare/PASRR/PASSR-101.pdf>

# Helpful Contacts

- Please direct any PASRR and level of care policy and procedure questions or compliance issues to ODM at [PASRR@medicaid.ohio.gov](mailto:PASRR@medicaid.ohio.gov)
- Nursing facilities should direct HENS system questions to the PASSPORT Administrative Agencies (PAAs)
- Technical issues with HENS should be reported to [ODA\\_ISD\\_Helpdesk@age.ohio.gov](mailto:ODA_ISD_Helpdesk@age.ohio.gov)

# QUESTIONS