|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | What is the individual's ID number from the sample key? | |  | | | | |
|  | **Answer Key** | **YES** | | **NO** | | **NOT APPLICABLE**  **(NOT IMPORTANT TO OR FOR)** | **ACTION TAKEN (REQUIRED FOR NEGATIVE OUTCOMES)** |
| 5.002 | Is the individual able to make choices about their lives, including food, activities, snacks, etc.? |  | |  | |  |  |
| 5.003 | Is the individual participating in person centered planning? |  | |  | |  |  |
| 5.004 | Is the service delivery focused on the desired outcomes that are important to or for the individual? |  | |  | |  |  |
| 5.005 | Is the person competitively employed (e.g. same or similar benefits and wage as non-disabled co-workers) in an integrated community job? |  | |  | |  |  |
| 5.006 | Is the individual given opportunities for meaningful and productive activities in the community with individuals with and without disabilities (e.g. shopping, religious services, vacations, dating)? |  | |  | |  |  |
| 5.007 | Is the individual involved in relationships with individuals outside of service delivery? |  | |  | |  |  |
| 5.008 | The individual indicated that they are not mistreated. |  | |  | |  |  |
| 5.009 | The individual indicated that they were not hit or hurt by anyone. |  | |  | |  |  |
| 5.010 | Did you know you can change your provider/support staff if you want? |  | |  | |  |  |
| 5.011 | Is the individual satisfied with services (e.g. what is working for the individual and what is not working)? |  | |  | |  |  |
| 5.012 | Do you feel healthy and know what to do or who to talk to if you don’t feel well or want to go to the doctor? |  | |  | |  |  |
| 5.013 | Does the person have their own room? |  | |  | |  |  |
| 5.014 | Is the person able to get around their home? |  | |  | |  |  |
| **The following questions are for CMS data collection only and will come from the records review. Non-compliance in these answers should be reflected as non-compliance in the provider review tool.**  (Data is being kept per person.) | | | | | | | |
|  | **Answer Key** | **YES** | | **NO** | **N/A** | |  |
| 6.001 | Using person centered planning, has the plan been developed based on the results of the assessment as it relates to:   * Ensure health and welfare, * Assist the individual to engage in meaningful activities * Support community connections * Assist in improving self-advocacy skills * Ensure achievement of outcomes * Identify risks include supports to prevent or minimize risks   Are employment services consistent with the individual’s identified employment outcome? |  | |  |  | |  |
| 6.002 | Was the full ISP developed within 30 days of enrollment on the SELF waiver? |  | |  |  | |  |
| 6.003 | Does the waiver service delivery documentation for all waiver billing codes include scope? |  | |  |  | |  |
| 6.004 | Does the waiver service delivery documentation for all waiver codes include the type of service? |  | |  |  | |  |
| 6.005 | Does the waiver service delivery documentation for all waiver billing codes include the number of units (amount) provided? |  | |  |  | |  |
| 6.006 | Does the waiver service delivery documentation for all waiver billing codes include frequency? |  | |  |  | |  |
| 6.007 | Does the waiver service delivery documentation for all waiver billing codes include duration? |  | |  |  | |  |
| 6.008 | Does the ISP specify the provider type, frequency, and funding source for each service and activity? |  | |  |  | |  |
| 6.009 | Was the service plan reviewed annually? |  | |  |  | |  |
| 6.010 | Was the service plan revised based on the changes in the individuals needs/wants? |  | |  |  | |  |
| 6.011 | Was the “Freedom of Choice” form for this individual reviewed on an annual basis? |  | |  |  | |  |
| 6.012 | Did the county board comply with Free Choice of Provider requirements? |  | |  |  | |  |
| 6.013 | If the staff person administers medication does the person have the appropriate certification for:   * Oral or topical medications (Category 1) * G-tube/J-tube (Category 2) * Insulin injections (Category 3) |  | |  |  | |  |
| 6.014 | If there was an assessed need for Medication Administration, did the individual receive the service as specified in the ISP? |  | |  |  | |  |
| 6.015 | Was the individual’s PLOC/LOC reviewed at least annually and/or based on changes in the individual’s needs? |  | |  |  | |  |
| **The following questions are for HCBS data collection only and will come from the observations and interview.**  (Data is being kept per person.) | | | | | | | |
|  | **Answer Key** | **YES** | | **NO** | **N/A** | |  |
| ~~6.016~~ | ~~Is the setting free from institutional qualities?~~ |  | |  |  | |  |
| ~~6.017~~ | ~~Does the setting not require improvement?~~ |  | |  |  | |  |
| ~~6.018~~ | ~~Does the individual reside in a setting which is not physically isolated from the community?~~ |  | |  |  | |  |
| ~~6.019~~ | ~~Does the individual reside in a setting that is not a disability-specific congregate setting?~~ |  | |  |  | |  |
| ~~6.020~~ | ~~Day services are not provided on or adjacent to this individual’s residential setting.~~ |  | |  |  | |  |
| ~~6.021~~ | ~~Does the setting promote community access?~~ |  | |  |  | |  |