Compliance Review Tool

Effective April 2018 Quick Look Changes

Agency Review Tool - Effective Date April 2018

Question #	Changes/Revision
2.008 Page 2 Employment	Question revised (clarification on who is responsible for writing employment progress reports) and guidance added (amendments to ISP if there is no progress toward achieving ISP outcomes)
2.009 Page 3 Employment	Rule citation added and guidance revised
2.012 Page 3 Employment	Rule citation added
2.013 Page 4 Employment	Guidance for this question revised (additional clarification on group employment, updates Voc. Hab. Effective date for community employment written outcomes in ISP, updates expected outcome for individual employment support, updates expected outcome of career planning, defines competitive, integrated employment)
2.014 Page 5 ISP Planning	Question added (During the service planning process, did the team explore the least restrictive services and settings? Offers guidance on the questions)
3.006 Page 6 Nursing and Delegation	Rule citation added and guidance revised (Added reference to nursing delegation grid, includes changes to references to locations serving 17 or more individuals, locations with 6 or fewer beds, and delegation for G/J tubes)
7.010 Page 16 Nursing and Delegation	Question and guidance revised (add references to 13 health related activities DSPs can do without delegation, and rules for nursing delegation for activities not included in Cat. 1 Cert)
7.015 Page 17 Employment	Question and guidance revised (lists out prevocational services, outlines what should be included in written progress report)
7.016 Page 18 Employment	Question revised (lists out prevocational services)
7.020 Page 18 Service Delivery	Question revised (rewords question on service delivery to include person-centered language)
7.022 Page 19 Day Services	Question added (new question regarding meeting the requirement to bill the community integration add-on, provides guidance on needed training and parameters for when the add-on can be billed)
7.023 Page 19 Day Services	Question added (new question for career planning documentation showing outcomes, question is specifically: assistive technology assessment, benefits education and analysis, career discovery, employment/self-employment plan, and situational observation and assessment)

9.013 **Page 34 Health Related Activities**	Question and guidance added (asks about proper certification for category 1 health related activities, outlines health related activities under category 1 certification, including the addition of 5 new health related activities)
9.027 **Page 38 Day Services**	Question revised (removes language around number of training hours needed for the specific waiver day service for first year staff)
9.028 **Page 38 Day Services**	Question revised (removes language around number of training hours needed for second year staff)
9.039 **Page 41 Compliance**	Guidance for this question added (language added around the agency's compliance protocol including the employment of a compliance officer or committee, compliance policies, and review of said policies and committees)
9.045 **Page 42 Nursing**	Question added (question added about three health related activities that can be completed with training but do not require med admin certification)
9.046 **Page 42 Day Services**	Question added as technical assistance only (no citations issued until 7/1/18) (question about if adult day support or Vac Hab provider notified DODD within 14 calendar days of moving or closing facilities)
12.002 **Page 47-48 Title XX**	Guidance for this question revised (revising guidance for service documentation for Title XX services)

Independent Review Tool - Effective Date April 2018

Question #	Changes/Revision
2.008	Question revised and guidance added
2.009	Rule citation added and guidance revised
2.012	Rule citation added
2.013	Guidance for this question revised
2.014	Question added
3.006	Guidance for this question revised
7.010	Question and guidance revised
7.021	Question added
9.001	Question and guidance revised
9.007	Guidance for this question revised
9.016	Question added
9.017	Question added

ICFIID Review Tool - Effective Date April 2018

Question #	Changes/Revision
1.008	Question revised and guidance added

2.014	Question and guidance revised
2.020	Question and guidance revised
2.025	Question revised
2.030	Question added
3.010	Guidance for this question revised
4.012	Question added
4.013	Question added
5.001	Question and guidance revised
6.006	Rule citation added and guidance revised
8.007	Question removed; subsequent questions renumbered

All Individual Interview Tools – HCBS questions removed

Ancillary Review tool – No change to compliance tool