Draft Framework for Aligning Vocational Habilitation Service Delivery with State and Federal Requirements

Home and Community-Based Waiver Services 42 CFR 440.180 (c)(2)(i)			
What does it require?	How we can do it?	What systems changes will help make this work?	What tools can we create to make this work?
Prevocational services are for people not expected to join the general workforce within the next year or participate in a transitional sheltered workshop, except for supported employment. People who are paid are paid less than 50% of the minimum wage. The service is not used to teach a person a specific job but is used to help people learn the general skills needed to work in any job. Services are reflected in the person's service plan identifying the skills to be	When people receiving Vocational Habilitation are paid, the following guidelines will be used: 1. Compensation will be reviewed at least every 12 months to determine if the person has achieved the 50% of minimum wage threshold. 2. "Minimum wage" is the Ohio minimum wage. 3. The calculation will be based off of the state minimum wage and the Bureau of Labor Statistics (BLS) data. BLS tracks how many hours per week the average	Develop a guidance document on how to make the annual calculation. Amend DODD/OOD & DODD/Medicaid Interagency Agreements to reflect. Strengthen relationship with ODE to educate, train, and support local education authorities on the vocational habilitation service. Fully operationalize the Employment First Transition Framework. Build SSA capacity to review compensation standards, facilitate difficult conversations with families, understanding when to	Develop communication and outreach tools to individuals, families, providers, and CB's ongoing throughout the process. Develop Service flow chart that providers/SSA's can use to show the range of adult day and employment services that are available. Develop Employment decision tree that providers can share with families and people with disabilities
developed, the main objective	American work and	authorize Voc. Hab, and Voc. Hab rule requirements.	

for the service cannot be for employment.	releases that information monthly.	
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	Annual wage earnings will	
	be added to the required	
	employment services	
	progress report that is	
	submitted to the SSA once	
	every 12 months.	
	This information will be	
	used to plan for	
	transitioning the person	
	from Vocational Habilitation	
	to other needed	
	employment supports.	

Home and Community-Based Services (HCBS) Settings Rule 42 CFR 441.301 (4)			
What does it require?	How we can do it?	What systems changes will help make this work?	What tools can we create to make this work?
Wherever a person receives Medicaid-funded waiver services has to be part of the community. The providers of HCBS Medicaid-funded waiver services must support people, as needed, with being involved in their community in ways that are meaningful to them.	Strengths, interests and abilities are identified through the personcentered planning process. People are given the opportunity to be involved in community activities with support, as needed, by providers of vocational habilitation services.	Amend DODD/OOD and DODD/Medicaid Interagency Agreements to reflect the HCBS Settings Rule and reflect required partnership to support people to community employment. Strengthen relationship with ODE to educate, train, and support local education	Increase communication and outreach tools for individuals, families, providers, and CB's ongoing throughout the process
A person must be given the choice of receiving services in places that are not just for people with disabilities The SSA must document all the choices a person was offered.	SSAs make sure people are given choices of services available to meet their needs and in non-disability-specific settings where those services can be provided. These options are documented in the plan. Settings evaluation will be done at all county board accreditation review and	authorities on the HCBS Settings Rule. Build SSA capacity understand the HCBS settings rule, facilitate difficult conversations with families, understanding when to authorize Voc. Hab, and Voc. Hab rule requirements based on the HCBS settings rule.	

Identify what funding would provider compliance reviews. be available to provide training and technical **HCBS** Medicaid services assistance to support rules are updated to sheltered workshops to reflect settings rule continue to employment requirements, as needed. individuals with I/DD without Medicaid funding. Build provider and SSA The funding would be capacity to plan, authorize, temporary and would only and deliver services in fund technical assistance for settings that meet the strategic planning and **HCBS Settings Rule.** implementation. Set benchmarks in Ohio Transition plan to increase the number of people receiving community based prevocational services. Gather baseline data of current available community based prevocational services providers by 2019. a. By 2020, increase community prevocational services providers by 10%.

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Centers for Medicare and Medicaid Services (CMS) Technical Guide (9/16/2011)			
What does it require?	How we can do it?	What systems changes will	What tools can we create to make
		help make this work?	this work?
Prevocational services occur	Ohio will develop a new	Develop new HCBS waiver	Develop training and guidance
over a defined period of time	service that will "carve	service to be effective in	materials for SSA's, providers,
(not indefinite/forever).	out" individuals 50 and	2020. Training and TA to	individuals and families regarding this
	over to be able to	occur.	service.
The service must be aimed at	maintain services as they		
helping people enter the	are today. (l.e. remain in	Strengthen relationship with	Develop a decision tree on how
general workforce.	facility based Medicaid	ODE to educate, train, and	individuals would transition from
	services, if they choose.)	support local education	current vocational habilitation service
The service must be tied to		authorities on the	into the newly identified service.
clear outcomes for the	EF rule would still apply to	Vocational Habilitation	
person.	these individuals but if	service and time limit.	Develop decision tree on service
	they choose to remain in a	Increase Pre-employment	options and planning process for
The service must be used to	facility based setting, this	transition services for youth.	individuals 18-49. What occurs if
teach or develop general	would be approved.		services are needed beyond the 24
skills.		Update current Vocational	month time limit?
	Vocational Habilitation is	Habilitation Rule, 5123: 2-9-	
The waiver application must	only authorized for people	14	Outline that details the DODD
say where the service can be	who express an interest in		extension approval process.
provided.	obtaining competitive,	Amend DODD/OOD and	
	integrated employment.	DODD/Medicaid	Increase communication and
The service cannot be used to		Interagency Agreements to	outreach to individuals, families,
teach specific job skills	The plan identifies the	reflect time limit and reflect	providers, and CB's ongoing
needed to perform work in a	person's outcomes, the	the required partnership to	throughout the process
facility-based job.	general skills intended to	support people to obtain	
	be obtained through	and maintain community	
The service must be reviewed	Vocational Habilitation,	employment.	
at least annually.	where those services will		

be delivered, and when Evaluation and revisions to they will be reviewed. **Acuity Assessment** Instrument (AAI) **Vocational Habilitation** may only be authorized **Evaluation and** for a total of 24 months recommendations to align for people ages 18 – 49. budget limitations for adult Effective Date: 2020. day and employment (Actual Date TBD) services. Yearly extensions to the **Evaluation** and vocational habilitation recommendations to align service can be approved financing for adult day and as needed. Extensions will employment services. only be granted for the one year timespan. This Ongoing evaluation of nonwill correspond with ISP. medical transportation. DODD will develop an Build SSA capacity. authorization process and extensions could only be Build provider capacity to deliver prevocational authorized by DODD. services in alignment with There will be no limit to federal requirements. the number of available extensions. A person's place on the Path to Community **Employment** is identified in the plan.

Workforce Innovation and Opportunity Act (WIOA)			
What does it require?	How we can do it?	What systems changes will	What tools can we create to make
		help make this work?	this work?
Youth and adults with	Youth 24 and younger,	Employment First Taskforce	Ongoing development of
disabilities should be able to	must:	Joint Guidance document	communication and outreach tools
have the option and exposure	1. Be provided with PreETS	created and distributed.	to individuals, families, providers,
to working in competitive,	2. Apply for VR services		and CB's ongoing throughout the
integrated employment.	and has been found	Vocational Rehabilitation is	process
	ineligible or had their case	the lead agency WIOA.	
Places limits on the payment	closed without an	 Developed and 	Multi-system case management
of subminimum wages to	employment outcome	administer career	training requirements regarding
individuals with disabilities.	3. Provided career	counseling.	community employment.
	counseling	2. Developed and	
Sets requirements for youth		authorizing PreETS	
24 and younger and adults	Adults being paid		
Sets requirements for VR and	subminimum wage must	ODE has distributed	
schools.	receive:	Guidance, forms, and	
	1.Career counseling at	reference materials.	
	least once a year	Added to Employment First	
	2.Provided with	Transition Vision Work Plan.	
	information on self-	(5, 5, 1,	
	advocacy, self-	Increase of Pre-Employment	
	determination, and peer	Transition Services.	
	mentoring opportunities		
		Ongoing joint training	
		regarding IEP outcome of	
		competitive, integrated	
		employment.	
		Target towards all school districts but initial outreach	
		to current county board	
		operated schools.	
		operated schools.	

Fully operationalizing the **Employment First Transition** Framework. Build SSA capacity. Build provider capacity. Multi-system required employment competencies for case managers in OOD, DODD, ODE, ODJFS, and **ODM** regarding employment resources for individuals with I/DD. Multi-system core competencies for employment providers across OOD, DODD, ODE, ODJFS, and ODM Increase access for youth exiting school to multi career pathways, including post-secondary options

Department of Labor (14c subminimum wage certificate)

A person must be paid for all hours worked.

A person must be paid minimum wage, in accordance with state and federal standards, unless:

- a. The person is doing work for an employer who has a 14c certificate from the Department of Labor; AND
- b. The person's disability impairs his ability to do earn and produce the work being performed at the same rate as non-disabled workers.

If paid below minimum wage, the person's wage is based on the worker's ability to produce work, as compared with non-disabled workers doing the same work, and must be reevaluated at least every 6 months.

Employers must keep track of daily and weekly hours worked and time spent not doing paid work, as defined by the Fair Labor Standards Act (FLSA).